CANADIAN RACTOPAMINE-FREE PORK CERTIFICATION PROGRAM

The Canadian Food Inspection Agency (CFIA) is responsible for certifying that pork products exported from Canada originate from pigs that have never been fed and/or exposed to ractopamine hydrochloride (to be referred to as ractopamine in this document).

This document describes the general requirements for the production and certification of ractopamine-free pork products for export.

COMPONENTS OF THE PROGRAM

Annexes

Annex 14: Declaration by Producer or CQA Manager on the use of bovine meat and bone meal

Annex 15: Declaration by person in charge of the assembly yard on the use of bovine meat and bone meal

Glossary

General terminology

CRFPCP: Canadian Ractopamine-Free Pork Certification Program

Commercial Feed Facilities terminology

Type A Commercial Feed Facilities: Commercial feed facilities (feed mills and feed retail outlets) that manufacture, handle and/or distribute only feeds that do not contain ractopamine. They have never manufactured and/or distributed mixed feeds containing ractopamine (including conveyances, their own and third party) or they have completed a clean-up according to Annex 1.

Type B Commercial Feed Facilities: Commercial feed facilities (feed mills and feed retail outlets) that manufacture, handle and/or distribute, in the same location or using the same equipment (including conveyances, their own and third party), feed containing Ractopamine and feed that does not contain Ractopamine.

Type D Commercial Feed Facilities: Commercial facilities (ex. warehouses, feed retail outlets, etc.) that handle and/or distribute **only** bagged feeds in their original packaging. Note that facilities are required to ensure that conveyances, their own and third party, are appropriate to meet Program requirements.

Third Party Audit: Systematic examination, conducted by a third party auditor to assess the effectiveness of a facility's control program and determine whether the controls implemented meet the requirements of the CRFPCP.

Third Party Auditor: Qualified person who is contracted by a facility enrolled on the CRFPCP to conduct an audit of the facility's control program to determine whether it meets the requirements of the Program. A third-party auditor must be independent of the customer-supplier relationship and must be independent of the audited organization and their customers.

Premise Identification (PID) site, Canadian Quality Assurance (CQA) On-Farm Feed Mill and Assembly Yard terminology

Canadian Quality Assurance (CQA) Program: The CQA Program is the national on-farm food safety assurance program developed and delivered by the Canadian Pork Council.

Premise Identification (PID) number: Premises identification is the assignment of a unique identification number to a parcel of land where livestock or poultry may be located. Premises identification connects animal traceability to geographic locations.

PID Site: It is a Production site with an assigned PID number. A PID site can have a

single barn or multiple barns on the site and can also have an On-Farm Feed Mill.

Pig Barn: It is a building that holds pigs. A barn is described as a building without any internal connection to another building. Multiple barns can be found in one PID site. Multiple stages of production can be found in one barn.

CQA Provincial Coordinator: An individual designated by the Canadian Pork Council as responsible to deliver the CQA Program at the provincial level.

CQA Manager: The person in charge of the management and maintenance of the CQA Program on-farm, who makes sure the records are properly kept.

Producer: The person who owns the animals.

External Assessor: A person contracted by a facility wanting to enroll on the CRFPCP to conduct an audit of the facility's control program to determine whether it meets the requirements of the program. The external assessor is not an employee of the barn or a person that holds ownership in the PID site, but could include CQA program validators, veterinarians, veterinary technicians, feed industry representatives, slaughter establishment employees, etc.

CQA Validator: An individual registered under the Canadian Pork Council's CQA Program as eligible to perform program validations.

Trace-out Checklist: A Trace-out Checklist (Annex 5.4) is a questionnaire that must be completed by a Canadian Pork Council representative and an Animal Nutrition Association of Canada (ANAC) feed representative when a Major Deviation on a PID site occurs. The representatives will determine which barn(s) from a PID site is/are allowed to continue shipping pigs to the slaughter establishment.

Type A PID site: A site that is registered under the CQA Program that was previously approved under the CFIA EU Ractopamine-Free Pork Program; OR registered under the CQA program and is able to demonstrate that, prior to enrollment under the CRFPCP, it has not kept pigs fed with feed containing Ractopamine for 12 months.

Type B PID site: A site that is registered under the CQA Program that has raised pigs fed with feed containing Ractopamine in the last twelve (12) months. To become eligible under the CRFPCP, they must undergo a complete clean-up (Annex 1) prior to introducing pigs raised according to this program. CQA records supporting these conditions are available for program assessment or audits. This PID site must complete the conditional carcass sample test from the first lot of animals and **test negative** for the presence of Ractopamine.

Type C CQA On-Farm Feed Mill: An on-farm feed mill that manufactures, handles and/or distributes only feeds that do not contain Ractopamine. They have never manufactured and/or distributed mixed feeds containing Ractopamine (including trucking) or they have

completed a clean-up according to Annex 1. On-Farm Feed Mill registered under the CQA program can be located at the same PID site as the pig barn or can be located on a different PID site. The On-Farm Feed Mill can also distribute feed to any other PID sites within its integrated system (same ownership), as long as no financial or monetary transaction is taking place.

PID site and CQA On-Farm Feed Mill Major Deviation (Impacting Program Status): A major deviation of the CRFPCP requirements, identified during validation and during normal facility operations, will lead to delistment of Type A or Type B PID site and CQA On-Farm Feed Mill.

PID site and CQA On-Farm Feed Mill Minor Deviation: A minor deviation of the CRFPCP requirements, identified during validation and during normal facility operations, will resulting corrective action(s).

Assembly yard: An assembly yard is a facility that temporarily (1 hour to a maximum of 15 days) holds animals that have never been fed with Ractopamine and may feed those animals, although not routinely, in transit with feed that does not contain Ractopamine. The assembly yard must be able to demonstrate that all animals arriving at the facility have not been fed with feed containing Ractopamine. An assembly yard might keep pigs from single or multiple sources and some cases multiple species with a CFIA recognized ractopamine-free status. An assembly yard, normally, does not have its own exclusive herd mark. The assembly yard must have a PID number approved by a provincial authority.

Type A Assembly yard: An assembly yard that has not kept animals or feed exposed to Ractopamine in the past 12 months.

Type B Assembly yard: An assembly yard that has kept animals or feed exposed to Ractopamine in the past 12 months and has performed and documented a complete clean-up of the feed distribution system as per Annex 1 of the CRFPCP prior to introducing pigs kept under the CRFPCP. This assembly yard must complete the conditional carcass sample test from the first lot of animals and **test negative** for the presence of Ractopamine.

Slaughter Establishment terminology

Type A Slaughter Establishment: This applies to the slaughter establishments that, in their operations, slaughter only pigs fed with feed that does not contain Ractopamine and meets the requirements of the CRFPCP.

Type B Slaughter Establishment: This applies to slaughter establishments that, in their operations, slaughter Ractopamine-free pigs meeting the requirements of the CRFPCP and pigs fed with feed containing Ractopamine.

1. Feed manufacturing and distribution

1.1 Overview

At its core, the Canadian Ractopamine-Free Pork Certification Program (CRFPCP) is industry-driven and, as a result, the ongoing conformance to Program requirements is the responsibility of the individual operator. Commercial facilities (feed mills and feed retail outlets) are required to conduct and document an internal audit within the first month following the implementation of the Program using Annex 6 “Audit Checklist for Commercial Feed Facility”. The internal audit must be conducted by an employee designated by the facility who has appropriate training and expertise.

To confirm that operations within the facility (commercial feed mills and feed retail outlets) continue to meet the requirements of the CRFPCP, there are two additional levels of oversight envisioned: audits conducted by third party auditors contracted by the operator (with the exception of Type D facilities) and monitoring inspections conducted by CFIA feed inspection staff at a sample of the facilities enrolled in the Program.

1.2 Roles and responsibilities

The roles and responsibilities for the implementation and oversight of the CRFPCP are expanded below:

1.2.1. Operators (commercial feed mills, feed retail outlets[1])

Operators must ensure that all feeds delivered to customers enrolled on the program are manufactured in accordance with Program requirements. The manufacturing conditions must be documented as required.

When a deviation from Program requirements that results in the introduction or likely introduction of ractopamine in a feed manufactured for a customer enrolled on the Program (e.g., a major deviation impacting program status) is identified, operators must initiate actions to bring the facility and/or feed into compliance. In addition, commercial feed facilities must **immediately** (i.e., within 24 hours) advise farms and other facilities involved in the Program receiving feed of any potential issues with their feeds, advise all customers that the facility has been removed from the Program, contact the Animal Feed and Veterinary Biologics Program of the CFIA (cfia.afp-paa.acia@inspection.gc.ca) and follow the procedures identified in Annex 11 (Deviations impacting program status noted during audits).

1.2.2.The responsibilities of the feed facility operator, related to the Program, are to:

a) Meet the requirements to be accepted for enrollment in the Program, including:

1. For Type A facilities that have, in the past, manufactured feeds that

[1] Feed retail outlets are commercial facilities that store, handle or distribute feed and/or feed ingredients for resale but do not manufacture feed or feed ingredients.

contained ractopamine, conduct and document a full clean-up of premises, bins, equipment and conveyances in accordance with Annex 1.

2. For commercial feed mills and feed retail outlets, submit a Request for Enrollment in the CRFPCP for Commercial Feed Facility (Annex 7) to the Animal Feed and Veterinary Biologics Program of the CFIA (cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

b) Conduct and document an internal audit within the first month following the implementation of the program in accordance with Annex 6 (commercial facilities only)

c) Manufacture feeds in accordance with the requirements of the Program

d) Offer for sale correctly labeled feeds (commercial feed mills and feed retail outlets only), e.g., labels do not include unapproved claims related to absence of ractopamine and/or participation in the Program

e) Maintain written procedures and records that meet Program requirements

f) Assist third party auditors and CFIA inspection staff with assessment activities including:

1. provision of copies of written procedures and records (including letters of guarantee from suppliers and those provided with shipments of feed as applicable) for review;
2. answering inquiries related to the implementation of written programs and other procedures used in the facility; and
3. provision of accurate information related to the facility's operations

g) Identify and correct deviations in a timely and appropriate manner taking into consideration the seriousness of the deviation and its impact[2]

h) Develop and implement acceptable and effective action plans in response to any non-compliance identified

All commercial facilities (feed mills and feed retail outlets) are required to undergo a third party audit within a year of enrolling in the Program with the exception of Type D facilities. The external review and assessment of the implementation of the control program will be conducted by third party auditors, including FeedAssure auditors, for those facilities certified under this voluntary HACCP Program.

To confirm that the commercial feed facility continues to meet Program requirements, Type B facilities must undergo an annual third party audit. Third party audits performed

[2] Whenever a deviation impacting program status is identified, the facility must contact the Animal Feed and Veterinary Biologics Division of the CFIA within 24 hours and follow the procedures identified in Annex 11.

every two years are required at Type A facilities. In those years that a third party audit is not required at a Type A facility, the facility must conduct and document an internal audit, in accordance with Annex 6, to confirm ongoing adherence to Program requirements.

1.2.3. The responsibilities of the Third Party Auditor

In addition to the core responsibility of assessing conformity of the commercial feed facility with the Program and ensuring operators effectively address any non-conformities identified, the responsibilities of the third party auditor are to:

a) Conduct program conformity assessments using the appropriate checklist (Annex 6 – Commercial Feed Facility) in accordance with the required program frequency
b) Communicate results of the program assessment to the operator in a professional manner
c) Follow-up on operator's action plan implementation in response to non-conformities identified
d) Ensure that a complete record of the program assessment is provided to the operator
e) Communicate any deviations from program requirements to the operator in writing
f) Make recommendations regarding ongoing participation in the program based on results of program assessments using Audit Result Summary in Annex 6.

1.2.4. CFIA Feed Inspection Staff are to:

a) Provide an additional level of confidence in the integrity of the CRFPCP.
b) Select a subset of facilities from the population of commercial feed facilities, enrolled in the Program, and verify conformity to Program requirements. The facilities to be assessed will include appropriate numbers of Type A, Type B and Type D commercial feed facilities (feed mills and feed retail outlets).
c) Perform the assessments using verification tasks specifically designed to assess conformance with Program requirements at Type A, Type B and Type D commercial feed facilities. The verification tasks will include review of procedures and records and on-site observations and interviews, to determine whether the Program requirements are being met.

The responsibilities of CFIA feed inspection staff are to:

a) Conduct Program conformity assessments using the appropriate verification tasks at selected facilities.
b) Communicate results of the Program assessment to the operator in a professional manner.
c) Follow-up on operator's action plan implementation in response to non-conformities identified.

d) Ensure that a complete record of the Program assessment is provided to the operator.
e) Communicate any deviations from Program requirements to the operator in writing.
f) Inform the Animal Feed and Veterinary Biologics Program of the CFIA within 24 hours of the identification a major deviation.
g) Make recommendations regarding ongoing participation in the Program based on inspection results using the Inspector's Report and Recommendation in the verification task procedures.
h) Maintain all inspection notes in the official inspection file.
i) Submit electronic information for inclusion in the CFIA inspection database.
j) Ensure all documents used in the conduct of compliance verification activities are current by using the latest version of required documents.

1.3 Letters of Guarantee and Declarations on shipping documents or invoices

Letter of Guarantee

A letter of Guarantee (Annex 3) indicates that a commercial feed facility has appropriate procedures in place to ensure that all feed and feed ingredients manufactured/handled by them meet the requirements of the Canadian Ractopamine-Free Pork Certification Program.

Enrolled commercial feed facilities are to provide an Annex 3 to all enrolled customers. When necessary, enrolled facilities are to provide an updated Annex 3 to all enrolled customers (ex. change in facility type).

Multiple enrolled facilities owned by one manufacturer are only able to be listed on a single Annex 3 provided the facilities are all at the same physical location and are all of the same type (Type A, Type B etc.). Note that if there is a change in program status for any one or more of the facilities in such a scenario, new letters of guarantees would need to be provided to all enrolled customers.

Enrolled commercial feed facilities and farms are to have on file an Annex 3 from each enrolled commercial feed facility that they purchase feed/feed ingredients from. Facilities should regularly confirm that the Annex 3 documents they have on file are valid by verifying the enrolled facilites on CFIA's website (i.e. the facility providing the Annex 3 is still enrolled in the Program). Note that Letters of Guarantee do not expire (i.e. they are no longer required to be redistributed annually).

Letters of guarantee are not required for:

- single ingredient feeds and mixed feeds for further manufacturing sourced from, or manufactured in, facilities other than commercial feed mills. Examples:
    - Grains
    - concentrated minerals

    - vitamins
    - flavors
    - enzymes
- bagged feeds not intended for sale to customers on the Program that remain in their original packaging. Examples:
    - Bagged complete horse feeds
    - Bagged calf milk replacers
- medications purchased from drug manufacturers

Declaration on shipping documents or invoices

Enrolled commercial feed facilities are to declare on the shipping documents or invoice that accompanies a delivery of feed that the feed has been manufactured/handled in accordance with the Program. For example, "This load has been manufactured in accordance with the Canadian Ractopamine-Free Pork Certification Program". The use of the acronym “CRFPCP” in the required declaration made on shipping documents or invoices is permissible.

Enrolled commercial feed facilities and farms are to verify that an appropriate declaration is present on the shipping documents or invoice that accompanies a delivery of feed from an enrolled commercial feed facility and keep that record for at least two years.

Declarations are not required for:

- single ingredient feeds and mixed feeds for further manufacturing sourced from, or manufactured in, facilities other than commercial feed mills. Examples:
    - Grains
    - concentrated minerals
    - vitamins
    - flavors
    - enzymes
- bagged feeds not intended for sale to customers on the Program that remain in their original packaging. Examples:
    - Bagged complete horse feeds
    - Bagged calf milk replacers
- medications purchased from drug manufacturers

1.4 Commercial Feed Facility Program Deviations

Major Deviations (Impacting Program Status)

These are deviations from Program requirements that result in the introduction or likely introduction of ractopamine into a feed manufactured for the purposes of this Program. For example:

- presence of ractopamine at any time in a Type A Facility;
- addition of ractopamine to a feed manufactured for a customer enrolled on the Program;
- sequencing of feed intended for a customer enrolled on the Program directly after a feed containing ractopamine without employing cleaning procedures.

Facilities are required to inform the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) within 24 hours of the identification of such a deviation and follow the procedures identified in Annex 11. Major deviations result in facility delistment and feed cannot be manufactured/distributed for the Program until the deviations have been addressed and re-enrollment procedures have been followed.

Serious Deviations

These are deviations from Program requirements identified during validation, internal audits, external audits (including CFIA inspections) and during normal facility operations that are associated with Letters of Guarantee / declaration on shipping documents related to incoming feeds/feed ingredients or spillage procedures in Type D facilities.

Serious deviations are to be addressed within forty eight (48) hours of identification. Not meeting this timeline will result in compliance actions up to and including delistment from the Program.

Minor Deviations

These are deviations from Program requirements identified during validation, internal audits, external audits (including CFIA inspections) and during normal facility operations that do not result in the introduction or likely introduction of ractopamine.
Minor deviations are to be addressed within fifteen (15) working days of identification. Not meeting this timeline may result in compliance actions up to and including delistment from the Program.

1.5 Program requirements by type of feed facility

1.5.1 Type A Commercial Feed Facilities

1.5.1.1. Program Enrollment Requirements

Prior to acceptance in the Program, Type A Commercial Feed Facilities are required to conduct the following activities:

1. Where the facility has, in the past, manufactured feeds that contained ractopamine, they must conduct and document a full clean-up of premises, bins, equipment and conveyances in accordance with Annex 1.

2. Submit a Request for Enrolment in the CRFPCP for Commercial Feed Facility (Annex 7) to the Animal Feed and Veterinary Biologics Division of the CFIA (cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

1.5.1.2 Facility Requirements

1. Ractopamine has never been used by the facility or the facility has followed proper cleanout procedures.

2. Ractopamine is not used by the facility in any of its activities.

3. The facility has controls in place to ensure that feeds of unknown origin are not accepted.

4. Bovine meat and bone meal is not used in the manufacturing of feed for pigs under the CRFPCP. If bovine meat and bone meal is used in other types of feed in the facility, feed intended for the CRFPCP cannot be sequenced directly after the manufacturing of feed containing these ingredients.

5. The facility has incoming Letters of Guarantee and declaration on shipping documents for products purchased from commercial feed facilities. Incoming Letters of Guarantee are kept for two years after the last date a feed was manufactured using associated feeds / feed ingredients.

6. The facility provides Letters of Guarantee and declaration on shipping documents to customers who are enrolled in the Program.

7. Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in Canadian Ractopamine-Free Pork Certification Program.

8. The facility has effective recall procedures in place.

9. Required records are kept for two years.

1.5.1.3 Program Oversight

Ongoing Oversight of Normal Facility Operation

- Minor and Serious deviations noted during ongoing oversight are to be correct during required timelines and corrective actions are to be noted in facility records.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

Internal Audits

- An internal audit shall be conducted and documented within the first month following enrollment. The audit will be performed by an employee designated by the facility who has appropriate training and expertise, following the implementation of the program in accordance with Annex 6 and the audit criteria outlined in section ***1.5.1.4***.

- Internal audits will then be conducted every other year, alternating with Third Party audits.

- Minor and Serious deviations noted during an internal audit are to be corrected during required timelines.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

- Upon completion of internal audits, the facility must provide a signed copy of the Audit Result Summary, found at the end of Annex 6, to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) in accordance with the following timelines:
    - **within 24 hours** of the identification of a major deviation impacting program status.
    - **within 10 business days** of the completion of the on-site audit.
    - Not following these timelines may result in compliance actions up to and including delistment from the Program.

Information to be included in the e-mail subject line:

- the facility identification number
- the appropriate category of the inspection outcome (e.g. Non-Adherence)

Third Party Audits

- Within a year of enrolment, an audit of the facility must be conducted by a third party, using the checklist provided in Annex 6, to confirm that program requirements continue to be met.

- Thereafter, third party audits of the facility are required to be performed every two years. In those years that an external third party audit is not required at a Type A facility, the facility must conduct and document an internal audit.

- Minor and Serious deviations noted during ongoing oversight are to be correct during required timelines.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

- Upon completion of external audits, the facility must provide a signed copy of the Audit Result Summary, found at the end of Annex 6, to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) in accordance with the following timelines:
    - **within 24 hours** of the identification of a major deviation impacting program status.
    - **within 2 business days** of receiving the audit report signed by the external auditor.
    - Not following these timelines may result in compliance actions up to and including delistment from the Program.

Information to be included in the e-mail subject line:

- the facility identification number
- the appropriate category of the inspection outcome (e.g. Non-Adherence)

Recordkeeping

Documentation, including audit reports, documentation of follow-up activities for deviations identified during normal facility operations, production, receiving and distribution records, copies of all letters of guarantee received from suppliers and issued by the facility to farms and other commercial feed facilities enrolled in the program must be kept on-site for 2 years from the time of distribution of the feed or until next third party audit and made available for verification to third party auditors, including CFIA or foreign auditors.

1.5.1.4 Elements of the Audit

1. Ractopamine has never been used by the facility or the facility has followed the proper cleanout procedures.
    - Verified by:
        - reviewing ingredient procurement, receiving, storage and mixing records and interview as necessary to determine whether drug

premixes or feeds containing ractopamine were received, stored or used by the facility within the twelve month period prior to enrolment
        - reviewing documentation to verify clean-out procedures were conducted in accordance with annex I, if ractopamine was received, stored or used
    - Deviations related to this element are major

2. Ractopamine is not used by the facility in any of its activities.
    - Verified by:
        - reviewing records for drug premix purchases for the previous twelve months to confirm ractopamine was not received by the facility
        - reviewing mixing formulae and mixing sheets/records related to feed manufactured to meet Program requirements to confirm that ractopamine was not used
    - Deviations related to this element are major

3. The facility has controls in place to ensure that feeds of unknown origin are not accepted.
    - Verified by:
        - reviewing written procedures (if available) and interviewing as necessary to confirm that adequate controls are in place to ensure that feeds of unknown origin are not received, stored or used in the facility
    - Deviations related to this element are major

4. Bovine meat and bone meal is not used in the manufacturing of feed for pigs under the CRFPCP. If bovine meat and bone meal is used in other types of feed in the facility, feed intended for the CRFPCP cannot be sequenced directly after the manufacturing of feed containing these ingredients.
    - Verified by:
        - reviewing mixing formulae and mixing sheets/records related to feed manufactured to meet Program requirements
    - Deviations related to this element are serious

5. Incoming Letters of Guarantee and declaration on shipping documents are present as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee
        - reviewing a subset of appropriate invoices or shipping records (receiving records)
    - Deviations related to this element are serious

6. Outgoing Letters of Guarantee and declaration on shipping documents are provided as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee provided to customers enrolled in the Program
        - reviewing a subset of invoices or shipping records that are provided to customers enrolled in the Program
    - Deviations related to this element are minor

7. Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in Canadian Ractopamine-Free Pork Certification Program.
    - Verified by:
        - reviewing a subset of labels
    - Deviations related to this element are minor

8. Recall
    - Verified by:
        - reviewing written feed recall procedures
        - reviewing records of recalls, including mock recalls, to confirm written recall procedures were followed and were effective and any deficiencies identified in the written recall procedures were corrected
    - Deviations related to this element are minor

9. Recordkeeping
    - Verified by:
        - reviewing a subset of the following records to verify they are being kept for a minimum of 2 years:
            - Documentation of clean-out of the facility in accordance with Annex 1 (if applicable)
            - Receiving records
            - Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
            - Mixing formula and mixing sheets
            - Feed labels
            - Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
            - Distribution records (including who the feed was shipped to and the amount delivered)
    - Deviations related to this element are minor

1.5.1.5 Program Re-enrollment Requirements

Prior to reacceptance in the Program, Type A facilities that have been delisted for cause (e.g., identification of deviations impacting Program status or unresolved minor deviations) are required to conduct the following activities (as per Annex 12):

1. Where the facility has, in the past, handled and/or distributed bulk feeds that contained ractopamine, they must conduct and document a full clean-up of premises, bins, equipment and conveyances in accordance with Annex 1.

2. Review the control program and conduct and document an internal audit. The results of the audit must demonstrate that corrective actions taken to address deviations have been effective and no deviations remain. Internal audits are to be conducted by an employee designated by the facility who has appropriate training and expertise in accordance with Annex 6.

3. Submit a Request for Enrolment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility (Annex 7) along with Annex 6 and supporting documentation to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

4. The Animal Feed and Veterinary Biologics Division will review the information and confirm Program status within five (5) business days[3].

1.5.1.6 Change in Facility Type

Where a Type A commercial feed facility modifies operations so that it becomes a Type B facility (e.g. starts using ractopamine in some feeds and implements necessary controls to ensure feeds intended for feeding on farms enrolled on this Program are manufactured in accordance with Program requirements), the facility will inform their clients and the CFIA in writing as follows:

☐ All customers enrolled in the Program will receive an updated Letter of Guarantee with their first shipment of feed manufactured after the change in production type.

☐ The facility updates, if necessary, the declaration it includes on shipping documents for clients enrolled in the Program.

☐ The facility will resubmit Annex 7 **within 24 hours** of the change in production type.
- Not meeting this timeline may result in compliance actions up to and including delistment from the Program.

[3] No feed shall be distributed for sale to other facilities enrolled in the Canadian Ractopamine-Free Pork Certification Program until the facility requesting re-enrollment has received confirmation that they will be added to list of enrolled facilities.

1.5.2 Type B Commercial Feed Facilities

1.5.2.1. Program Enrollment Requirements

Prior to acceptance in the Program, Type B facilities are required to submit a Request for Enrolment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility (Annex 7) to the Animal Feed and Veterinary Biologics Division of the CFIA (cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

1.5.2.2 Type B Commercial Feed Facility Requirements

1. Type B facilities must have in place a verifiable HACCP program which will include Standard Operating Procedures (SOPs) for the prevention of ractopamine cross contamination for feed being manufactured under this program.

2. The facility has controls in place to ensure that feeds of unknown origin are not accepted.

3. Bovine meat and bone meal is not used in the manufacturing of feed for pigs under the CRFPCP. If bovine meat and bone meal is used in other types of feed in the facility, feed intended for the CRFPCP cannot be sequenced directly after the manufacturing of feed containing these ingredients.

4. The facility has incoming Letters of Guarantee and declaration on shipping documents for products purchased from commercial feed facilities. Incoming Letters of Guarantee are kept for two years after the last date a feed was manufactured using associated feeds / feed ingredients.

5. The facility provides Letters of Guarantee and declaration on shipping documents to customers who are enrolled in the Program.

6. Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in Canadian Ractopamine-Free Pork Certification Program.

7. The facility must have appropriate production controls (sequencing and flushing) to prevent ractopamine contamination of feeds manufactured for the purposes of this Program.

    a. Sequencing: When sequencing is being used in facilities, all feed manufactured for pigs under this protocol (whether for farrowing, nursery or

growing stages) must follow the CFIA medication sequencing guideline for management of drug carryover with special emphasis on ractopamine. In addition, feeds intended to be sold to a farm enrolled on the Canadian Ractopamine-Free Pork Certification Program or farms supplying pigs to these farms cannot be manufactured directly after a feed containing ractopamine without employing cleaning procedures to prevent carryover regardless of the allowance in the CFIA sequencing guide.

b. Flushing: When the facility cannot follow the above sequencing requirements (i.e., must manufacture a swine feed going to a farm on the program directly after a feed containing ractopamine), then an equipment flush or other cleaning procedure will be required before the feed for this program is manufactured, handled or distributed. The flushing or other cleaning procedure must be approved by CFIA. A flush validation must be conducted by all type B commercial feed mill facilities prior to enrolling on the program. Ractopamine hydrochloride, at the highest concentration used in the facility, must be the tracer used to conduct this flush validation.

8. The facility has effective recall procedures in place.

9. Required records are kept for two years.

1.5.2.3 Program Oversight

Ongoing Oversight of Normal Facility Operation

- Minor and Serious deviations noted during ongoing oversight are to be correct during required timelines and corrective actions are to be noted in facility records.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

Internal Audits

- An internal audit shall be conducted and documented within the first month following enrollment. The audit will be performed by an employee designated by the facility who has appropriate training and expertise, following the implementation of the program in accordance with Annex 6 and the audit criteria outlined in section ***1.5.2.4***.

- Following this internal audit, all audits are to be conducted by a Third Party.

- Minor and Serious deviations noted during an internal audit are to be correct during required timelines.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

- Upon completion of internal audits, the facility must provide a signed copy of the Audit Result Summary, found at the end of Annex 6, to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) in accordance with the following timelines:
    - **within 24 hours** of the identification of a major deviation impacting program status.
    - **within 10 business days** of the completion of the on-site audit.
    - Not following these timelines may result in compliance actions up to and including delistment from the Program.

Information to be included in the e-mail subject line:

- the facility identification number
- the appropriate category of the inspection outcome (e.g. Non-Adherence)

Third Party Audits

- Within a year of enrollment, an audit of the facility must be conducted by a third party, using the checklist provided in Annex 6, to confirm that program requirements continue to be met.

- Thereafter, third party audits of the facility are required to be performed every year.

- Minor and Serious deviations noted during ongoing oversight are to be correct during required timelines.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

- Upon completion of external audits, the facility must provide a signed copy of the Audit Result Summary, found at the end of Annex 6, to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) in accordance with the following timelines:
    - **within 24 hours** of the identification of a major deviation impacting program status.

- **within 2 business days** of receiving the audit report signed by the external auditor.
- Not following these timelines may result in compliance actions up to and including delistment from the Program.

Information to be included in the e-mail subject line:

- the facility identification number
- the appropriate category of the inspection outcome (e.g. Non-Adherence)

Recordkeeping

Documentation, including audit reports, documentation of follow-up activities for deviations identified during normal facility operations, production, receiving and distribution records, copies of all letters of guarantee received from suppliers and issued by the facility to farms and other commercial feed facilities enrolled in the program must be kept on-site for 2 years from the time of distribution of the feed or until next third party audit and made available for verification to third party auditors, including CFIA or foreign auditors.

1.5.2.4 Elements of the Audit

1. The facility has a verifiable HACCP program that includes Standard Operating Procedures (SOPs) for the prevention of ractopamine cross contamination for feed being manufactured under this program
    - Verified by:
        - reviewing the facility's HACCP certification documentation
    - Deviations related to this element are major

2. The facility has controls in place to ensure that feeds of unknown origin are not accepted.
    - Verified by:
        - reviewing written procedures (if available) and interviewing as necessary to confirm that adequate controls are in place to ensure that feeds of unknown origin are not received, stored or used in the facility
    - Deviations related to this element are major

3. Bovine meat and bone meal is not used in the manufacturing of feed for pigs under the CRFPCP. If bovine meat and bone meal is used in other types of feed in the facility, feed intended for the CRFPCP cannot be sequenced directly after the manufacturing of feed containing these ingredients.
    - Verified by:

        - reviewing mixing formulae and mixing sheets/records related to feed manufactured to meet Program requirements
    - Deviations related to this element are serious

4. Incoming Letters of Guarantee and declaration on shipping documents are present as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee
        - reviewing a subset of appropriate invoices or shipping records (receiving records)
    - Deviations related to this element are serious

5. Outgoing Letters of Guarantee and declaration on shipping documents are provided as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee provided to customers enrolled in the Program
        - reviewing a subset of invoices or shipping records that are provided to customers enrolled in the Program
    - Deviations related to this element are minor

6. Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in Canadian Ractopamine-Free Pork Certification Program.
    - Verified by:
        - reviewing a subset of labels
    - Deviations related to this element are minor

7. The facility has appropriate production controls (sequencing and flushing) to prevent ractopamine contamination of feeds manufactured for the purposes of this Program.
    - Verified by:
        - reviewing procedures that ensure that feed being manufactured under this Program is never manufactured after feed containing ractopamine without employing cleaning procedures to prevent carryover
        - reviewing procedures that ensure that when feed being manufactured under this Program cannot be sequenced, a flush or other cleaning procedure is conducted in accordance with written procedures and noted on production documents
        - reviewing the facility's flush validation for all their production lines where feed under this program will be manufactured
            - A flush validation must be conducted by all type B commercial feed mill facilities prior to enrolling on the program.

Ractopamine hydrochloride, at the highest concentration used in the facility, must be the tracer used to conduct this flush validation.

- reviewing the facility's ractopamine inventory reconciliation, including ractopamine and incoming mixed feeds containing ractopamine and confirm it is performed **daily**
  - if a reconciliation discrepancy was identified, confirm an investigation into the cause was conducted immediately and appropriate measures to ensure feed produced under the Canadian Ractopamine-Free Pork Certification Program was not compromised were taken

- Deviations related to this element are major

8. Recall
   - Verified by:
     - reviewing written feed recall procedures
     - reviewing records of recalls, including mock recalls, to confirm written recall procedures were followed and were effective and any deficiencies identified in the written recall procedures were corrected
   - Deviations related to this element are minor

9. Recordkeeping
   - Verified by:
     - reviewing a subset of the following records to verify they are being kept for a minimum of 2 years:
       - Receiving records
       - Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
       - Inventory records
       - Mixing formula and mixing sheets
       - Feed manufacturing records including sequencing and flushing
       - Flush validation records
       - Feed labels
       - Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
       - Distribution records (including who the feed was shipped to and the amount delivered)
   - Deviations related to this element are minor

1.5.2.5 Program Re-enrolment Requirements

Prior to reacceptance in the Program, Type B facilities that have been delisted for cause (e.g., identification of deviations impacting Program status or unresolved minor deviations) are required to conduct the following activities (as per Annex 12):

1. Review the control program and conduct and document an internal audit. The results of the audit must demonstrate that corrective actions taken to address deviations have been effective and no deviations remain. Internal audits are to be conducted by an employee designated by the facility who has appropriate training and expertise in accordance with Annex 6.

2. Submit a Request for Enrolment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility (Annex 7) along with Annex 6 and supporting documentation to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

3. The Animal Feed and Veterinary Biologics Division will review the information and confirm Program status within five (5) business days[4].

1.5.2.6 Change in Commercial feed Facility Type

Where a Type B commercial feed facility modifies operations so that it becomes a Type A facility (e.g., conducts a clean-up of their facility in accordance with Annex 1 and stops manufacturing, handling and/or distributing feeds that contain ractopamine), the facility will inform their clients and the CFIA in writing as follows:

☐ All customers enrolled in the Program will receive an updated Letter of Guarantee with their first shipment of feed manufactured after the change in production type.

☐ The facility updates, if necessary, the declaration it includes on shipping documents for clients enrolled in the Program.

☐ The facility will resubmit Annex 7 **within 24 hours** of the change in production type.
- Not meeting this timeline may result in compliance actions up to and including delistment from the Program.

1.5.3. Type D Commercial Feed Facilities

1.5.3.1 Program Enrolment Requirements

[4] No feed shall be distributed for sale to other facilities enrolled in the Canadian Ractopamine-Free Pork Certification Program until the facility requesting re-enrollment has received confirmation that they will be added to list of enrolled facilities.

Prior to acceptance in the Program, Type D facilities are required to conduct the following activities:

1. Submit a Request for Enrolment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility (Annex 7) to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

1.5.3.2 Type D Commercial Feed Facility Requirements

1. The facility only handles bagged feed in its original packaging.

2. The facility has incoming Letters of Guarantee and declaration on shipping documents for products purchased from commercial feed facilities. Incoming Letters of Guarantee are kept for two years after the last date a feed was manufactured using associated feeds / feed ingredients.

3. The facility provides Letters of Guarantee and declaration on shipping documents to customers who are enrolled in the Program.

4. The facility has, and follows, spillage procedures to prevent cross contamination.

5. The facility has effective recall procedures in place.

6. Required records are kept for two years.

1.5.3.3 Program Oversight

Ongoing Oversight of Normal Facility Operation

- Minor and Serious deviations noted during ongoing oversight are to be corrected during required timelines and corrective actions are to be noted in facility records.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification

Internal Audits

- An internal audit shall be conducted and documented, by an employee designated by the facility who has appropriate training and expertise, following the implementation of the program in accordance with Annex 6 and the audit criteria outlined in section ***1.5.3.4***.

- The first internal audit will be conducted within the first month following enrollment. Internal audits will then be conducted every 12 months thereafter.

- Minor and Serious deviations noted during an internal audit are to be correct during required timelines.

- Major deviations impacting program status are to be reported to the Animal Feed and Veterinary Biologics Division of the CFIA **within 24 hours** of identification.

- Upon completion of internal audits, the facility must provide a signed copy of the Audit Result Summary, found at the end of Annex 6, to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) in accordance with the following timelines:
    - **within 24 hours** of the identification of a major deviation impacting program status.
    - **within 10 business days** of the completion of the on-site audit.
    - Not following these timelines may result in compliance actions up to and including delistment from the Program.

Information to be included in the e-mail subject line:
- the facility identification number
- the appropriate category of the inspection outcome (e.g. Non-Adherence)

Recordkeeping

Documentation, including audit reports, documentation of follow-up activities for deviations identified during normal facility operations, production, receiving and distribution records, copies of all letters of guarantee received from suppliers and issued by the facility to farms and other commercial feed facilities enrolled in the program must be kept on-site for 2 years from the time of distribution of the feed or until next third party audit and made available for verification to third party auditors, including CFIA or foreign auditors.

1.5.3.4 Elements of the Audit

1. Only bagged feed in original packaging is handled.
    - Verified by:
        - onsite observations
    - Deviations related to this element are major

2. Incoming Letters of Guarantee and declaration on shipping documents are present as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee
        - reviewing a subset of appropriate invoices or shipping records (receiving records)
    - Deviations related to this element are serious

3. Outgoing Letters of Guarantee and declaration on shipping documents are provided as required and meet Program requirements.
    - Verified by:
        - reviewing a subset of Letters of Guarantee provided to customers enrolled in the Program
        - reviewing a subset of invoices or shipping records that are provided to customers enrolled in the Program
    - Deviations related to this element are minor

4. Spillage procedures
    - Verified by:
        - confirming the presence of, and adherence to, procedures related to spillage that address risks associated with cross contamination of ractopamine
        - Deviations related to this element are serious (absence of procedures) or major (indications of cross contamination)

5. Recall
    - Verified by:
        - reviewing written feed recall procedures
        - reviewing records of recalls, including mock recalls, to confirm written recall procedures were followed and were effective and any deficiencies identified in the written recall procedures were corrected
    - Deviations related to this element are minor

6. Recordkeeping
    - Verified by:
        - reviewing a subset of the following records to verify they are being kept for a minimum of 2 years:
            - Receiving records
            - Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
            - Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
            - Distribution records (including who the feed was shipped to and the amount delivered)
    - Deviations related to this element are minor

1.5.3.5 Program Re-enrollment Requirements

Prior to reacceptance in the Program, Type D facilities that have been delisted for cause (e.g., identification of deviations impacting Program status or unresolved serious and/or

minor deviations) are required to conduct the following activities (as per Annex 12):

1. Where a facility has had a spill from an open or ripped bag, they must conduct and document a full clean-up of the spill.

2. Review the control program and conduct and document an internal audit. The results of the audit must demonstrate that corrective actions taken to address deviations have been effective and no deviations remain. Internal audits are to be conducted by an employee designated by the facility who has appropriate training and expertise in accordance with Annex 6.

3. Submit a Request for Enrollment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility (Annex 7) along with Annex 6 and supporting documentation to the Animal Feed and Veterinary Biologics Division of the CFIA (email address: cfia.afp-paa.acia@inspection.gc.ca) once the facility determines that Program requirements are met.

4. The Animal Feed and Veterinary Biologics Division will review the information and confirm Program status within five (5) business days[5].

1.5.3.6 Change in Facility Type

Where a Type D facility modifies operations so that it handles bulk feed and becomes a Type A or B facility, the facility will inform their clients and the CFIA in writing as follows:

☐ All customers enrolled in the Program will receive an updated Letter of Guarantee with their first shipment of feed manufactured after the change in production type.

☐ The facility updates, if necessary, the declaration it includes on shipping documents for clients enrolled in the Program.

☐ The facility will resubmit Annex 7 **within 24 hours** of the change in production type.
- Not meeting this timeline may result in compliance actions up to and including delistment from the Program.

[5] No feed shall be distributed for sale to other facilities enrolled in the Canadian Ractopamine-Free Pork Certification Program until the facility requesting re-enrollment has received confirmation that they will be added to list of enrolled facilities.

1.6 Summary of Program Requirements by Feed Facility Type

Requirements	Feed Facility Type		
	Type A	Type B	Type D
Before Program Enrollment			
Conduct and document cleanout in accordance with Annex 1 if facility has used ractopamine in the past	Yes	N/A	N/A
Develop, follow and document adherence to SOPs that prevent ractopamine cross contamination of feed being manufactured for this Program (i.e., HACCP Program)	N/A	Yes	N/A
Submit a Request for Enrollment in the CRFPCP for Commercial Feed Facility (Annex 7) to the Animal Feed and Veterinary Biologics Division of the CFIA	Yes	Yes	Yes
Receive Letters of Guarantee (Annex 3) for all incoming mixed feeds and single ingredient feeds purchased from commercial feed facilities	Yes	Yes	Yes
After Program Enrollment			
After Program enrollment, handle only feeds that do not contain ractopamine	Yes	N/A	N/A
Follow and document adherence to SOPs that prevent ractopamine cross contamination of feed being manufactured for this program (i.e., HACCP Program)	N/A	Yes	N/A
Provide letters of guarantee (Annex 3) to other commercial feed facilities and farms enrolled in the Program	Yes	Yes	Yes
Conduct and document internal audit within 30 calendar days in accordance with Annex 6	Yes	Yes	Yes
Contract with third party to conduct audit of facility within 12 months of enrollment in the Program	Yes	Yes	N/A
Contract with third party to conduct audits	Yes Every two years	Yes Annually	N/A
Conduct and document internal audits, in accordance with Annex 6, in years when no external audit is required	Yes	No	Yes
Communicate results of internal and external audits in accordance with timelines identified in the Program	Yes	Yes	Yes
Maintain on-site for 2 years and make available on request Program documentation, e.g., audit reports, production and distribution records, copies of letters of guarantee	Yes	Yes	Yes

2. Premise Identification (PID) site, CQA On-Farm Feed Mill and Assembly Yard

Ractopamine must not be used in feed intended to feed any pigs marketed to registered CRFPCP slaughter establishments. CFIA's Compendium of Medicating Ingredient Brochures # 82 state that Ractopamine should not be fed to male or female swine intended for reproduction, including pregnant swine, swine in lactation, and swine intended to be retained for breeding.

2.1 Roles and responsibilities

It is the role and responsibility of the External Assessor, CQA Validator, CQA Manager or Producer, CQA Provincial Coordinator and Assembly Yard representative to ensure that the premises enrolled and audited are in compliance with the CRFPCP requirements.

2.1.1 The responsibilities of the External Assessor are:

a) Conducting Program On-Site Enrollment Assessment Checklist, Annex 5.
b) Verifying that all Annex 3 issued are signed by CRFPCP enrolled Feed Facilities.
c) Communicating results of the program assessment to the CQA Manager or Producer in a professional manner.
d) Communicating in writing any deviations with program requirements to the CQA Manager or Producer.
e) Following-up with the CQA Manager or Producer to ensure that corrective action in response to deviations are effectively implemented.
f) Ensuring that a signed copy of the Annex 5 is provided to the CQA Manager or Producer to be kept at the PID site.
g) Ensuring that a signed copy of the Annex 5 is provided to the slaughter establishment where pigs are marketed.

2.1.2 The responsibilities of the CQA Validator are:

a) Conducting the Annual Assessment Checklist, Annex 5.1, for PID sites and On-Farm Feed Mills in accordance with the required CQA Program cycle.
b) Verifying that all Annex 3 issued are signed by CRFPCP enrolled Feed Facilities.
c) Communicating results of the program assessment to the CQA Manager or Producer in a professional manner.
d) Communicating in writing any deviations with program requirements to the CQA Manager or Producer.
e) Following-up with the CQA Manager or Producer to ensure that corrective action in response to deviations are effectively implemented.
f) Ensuring that a signed copy of the Annex 5.1 is provided to the CQA Manager or Producer to be kept at the PID site.
g) Ensuring that a signed copy of the Annex 5.1 is provided to the CQA Provincial Coordinator.

2.1.3 The responsibilities of the CQA Manager and Producer are:

a) Assisting external assessors, CQA Validators and/or foreign auditors with enrollment or assessment activities.
b) Demonstrating the PID site possesses a valid CQA Program Status.
c) Demonstrating the PID site possesses barn-exclusive herd mark(s).
d) Ensuring Annex 3 (letters of guarantee from feed facilities) is signed by a CRFPCP enrolled Feed Facility and kept on file. Annex 3 is not required for single feed ingredients feeds (such as concentrated minerals, vitamins, flavors and enzymes, any ingredient listed on Schedule IV and V of the Feed Act) manufactured in facilities other than feed mills (Type A, B and D).

e) Verifying through the CFIA list if the Commercial feed mills (Type A-B-D) supplying feed are enrolled on the CRFPCP (Commercial Feed Facilities Enrolled in the Canadian Ractopamine-Free Pork Certification Program).
f) Demonstrating shipping documents or invoices (feed delivery slips) for each load of feed delivered since the last CQA validation or since enrollment on the Program are kept on file, confirming that the feed being delivered has been made in accordance with the Program.
g) Retaining the "Swine Movement Document" (Annex 4) for all incoming shipment of pigs, and copies are kept on site since the last CQA Validation or since enrollment on the program and records are available to auditors upon request.
h) Retaining CQA validation report on file until next validation.
i) Keeping a copy of the completed Annex 5 at the PID site.
j) Identifying and correcting any deviations in a timely and appropriate manner, taking into consideration the seriousness of the deviation and its impact (review categorization of deviations in section 2.7).
k) In case of a major deviation, provide notice to the CQA Provincial Coordinator and slaughter establishment as soon as a deviation is observed on PID site or CQA On-Farm Feed Mill.

2.1.4 The responsibilities of a new CQA Manager or Producer of an already enrolled and in compliance PID site or CQA On-Farm Feed Mill are:

a) Notify the CQA Provincial Coordinator.
b) Depending on the change of ownership, the CQA Provincial Coordinator will indicate the requirements for the CQA production unit to maintain enrollment on the CRFPCP.
c) If a new enrollment is required, notify the slaughter establishment of the change of ownership and send the On-site Enrollment Assessment Checklist (Annex 5) and an updated Agreement between the PID site and the Slaughter Establishment (Annex 2).

2.1.5 The responsibilities of the CQA Provincial Coordinator are:

a) Receiving the signed Annex 5 from the slaughter establishment representative on an ongoing basis.
b) Receiving an updated list of the enrolled PID sites from the slaughter establishment.
c) Informing the slaughter establishment(s) about updates to PID sites status and information received through Annex 5.1, when applicable.
d) Updating the enrollment status of the PID site(s) according to the updated enrollment list provided by the slaughter establishment on a quarterly basis.
e) Receiving a copy of the CQA validation report, including the Annex 5.1(PID site and On-Farm Feed Mill Annual Assessment Checklist) from the CQA Validator to confirm adherence to the CRFPCP requirements.
f) Ensuring the Annex 5.1 received from the validator is the latest version.
g) Ensuring the Annex 5.1 is completed for each PID site enrolled in the CRFPCP.

h) Keeping a copy of Annex 5 and Annex 5.1 at the CQA Provincial Coordinator office.
i) Guiding the producer when a change of ownership occur. The CQA provincial coordinator will indicate the process of enrollment of the CQA production unit on the CRFPCP.
j) Informing the slaughter establishment(s) about updates to PID sites' information received through Annex 5.1, when applicable.
k) Communicating to the slaughter establishment when a major deviation occurs on a PID site.
l) Communicating the results of the trace-out checklist with the CQA National Coordinator, the slaughter establishment, and the CFIA.

2.1.6 The responsibilities of the Assembly Yard Owner or person responsible are:

a) Assisting external assessors with enrollment (Annex 5.2) or assessment activities (Annex 5.3).
b) If the Assembly yard is buying feed, ensuring Annex 3 (letters of guarantee from feed facilities) are signed by a CRFPCP enrolled Feed Facility and kept on file. Annex 3 is not required for single ingredient feeds and mixed feeds (such as concentrated minerals, vitamins, flavors and enzymes) manufactured in facilities other than feed facilities (Type A-B-D).
c) Verifying through the CFIA list if the Commercial Feed Facilities (Type A-B-D) suppling feed are enrolled on the CRFPCP (Commercial Feed Facilities Enrolled in the Canadian Ractopamine-Free Pork Certification Program).
d) Demonstrating shipping documents or invoices (feed delivery slips) are kept on file for each load of feed received after the enrollment, and kept on file for at least 2 years (for the first completion of Annex 5.3, Annual Assessment Checklist, if the first 12 months of feed records are not available, Annex 1-clean-up records in accordance with the CRFPCP must be available for review).
e) Retaining the Annex 4, Swine Movement Document on file for each load of incoming animals to prove those have not been fed Ractopamine.
f) Ensuring Annex 4, Swine Movement Document, is filled out and sent with pig shipments delivered to the slaughter establishment (the Annex 4 for the first shipment of pigs will cover multiple truckloads unloaded at the same slaughter establishment on the same day). The Annex 4 must be kept on file for at least 12 months.
g) Keeping a copy of the completed Annex 5.2 at the Assembly Yard.
h) Identifying and correcting any deviations in a timely and appropriate manner taking into consideration the seriousness of the deviation and its impact (review categorization of deviations in section 2.8.

2.2 Type C CQA On-Farm Feed Mill Enrollment Requirements

The CQA On-Farm Feed Mill manufactures, handles, and/or distributes only feeds that do

not contain Ractopamine. They have never (or have not in the past 12 months) manufactured and/or distributed mixed feeds containing Ractopamine (including trucking). The CQA On-Farm Feed Mill registered under the CQA program can be located at the same PID site as a pig barn or can be located on a different PID site. The CQA On-Farm Feed Mill can also distribute feed to other PID sites within its integrated system (same ownership), as long as no financial or monetary transaction are taking place.

All CQA On-Farm Feed Mills are required to undergo an on-site validation to enroll in the Program and, thereafter, in accordance with required CQA Program frequency. The review and assessment of the implementation of the control program will be conducted by CQA validators.

Any other on-farm feed ingredient manufacturing equipment (such as an on-farm extruder) does not have to be registered on the CRFPCP if it has demonstrated a one-way directional flow throughout the production (production flow diagram).

2.2.1 Program Documentation for Enrollment

A. Annex 5 – On-Site Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed Mills

The On-Farm Enrollment Assessment Checklist must be completed by the external assessor to evaluate if the CQA On-Farm Feed Mill meets the program requirements. The Annex 5 checklist contains aspects related to the CQA program protocols and records associated with the mixing, delivery and storage of feeds on the production unit as applicable.

If a Type C CQA On-Farm Feed Mill manufactures feed for multiple PID sites, the CQA On-Farm Feed Mill validation is valid for 12 months. An on-site examination of the CQA On-Farm Feed Mill is required if a Full Validation of the PID site is required.

B. To complete the Annex 5, you must have available the following documents:

- ✓ **Proof of Registration on the CQA Program.** The CQA Manager must prove that the CQA On-Farm Feed Mill is registered to the CQA Program and has a valid status (e.g.: CQA certificate) and have no outstanding corrective actions related to the CQA program.

- ✓ **Proof the site is free from Ractopamine.** The CQA Manager must prove that the CQA On-Farm Feed Mill has not manufactured feed containing Ractopamine in the last 12 months or since a clean-up (Annex 1) was completed. This on-farm feed mill has started collecting shipping documents or invoices (feed delivery slips) for each load of feed received and have been reviewed by the external assessor, confirming that the feed being delivered has been made in accordance with the CRFPCP.

- ✓ **Proof the site is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The CQA Manager must prove that the CQA On-Farm Feed Mill has manufactured feed containing bovine meat and bone meal or used any supplements that may contain bovine meat and bone meal. CQA On-Farm Feed Mills must not manufacture feed for poultry or other species that contains bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used on-farm. Prior to March 31, 2018, Annex 14 - Declaration by Producer or CQA Manager on the use of bovine meat and bone meal form will be signed by all producers registered to the CRFPCP to ensure compliance with the new requirement.

- ✓ **Annex 3 - Letter of Guarantee for the Feed Component.** The CQA Manager or Producer of the CQA On-Farm Feed Mill has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP. Annex 3 is not required for single feed ingredient (such as concentrated minerals, vitamins, flavors and enzymes) manufactured in facilities other than Type A, B and D (bulk or bagged).

- ✓ **Feed mixing and sequencing records**. The feed mixing and sequencing record are maintained, kept on file since the last CQA validation and available for inspection upon request.

- ✓ **Feed of unknown origin:** This CQA On-Farm Feed Mill has control in place to ensure that feeds of unknown origin are not accepted.

- ✓ **Responsibilities**: The person in charge of the CQA On-Farm Feed Mill is aware of the CRFPCP CQA Manager or Producer responsibilities, and that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the on-farm feed mill.

Additional questions for CQA On-Farm Feed Mill that have used Ractopamine in the past 12 months:

- ✓ **Annex 1 - Clean up record.** If this on-farm feed mill has manufactured feed containing Ractopamine in the past 12 months, appropriate clean-up of the feed mill has been demonstrated, including records of clean-up in accordance with Annex 1 of the CRFPCP.

- ✓ **Proof the site is free from Ractopamine.** This CQA On-Farm Feed Mill has started collecting for loads of feed delivered the shipping documents or invoices (receiving records, feed delivery slips) since a clean-up (Annex 1), confirming that the feed being delivered has been made in accordance with the CRFPCP. Any animals that may have been exposed to Ractopamine have been removed or identified and segregated from incoming Ractopamine-free pigs. The barn and

equipment that may have been exposed to feed containing ractopamine or animals fed with ractopamine have been cleaned.

2.2.2 Maintaining the CRFPCP status

A. Annex 5.1 - Annual Assessment Checklist for PID sites and On-farm Feed Mills

An Annex 5.1 - Annual Assessment Checklist is mandatory for CQA On-Farm Feed Mills to remain enrolled on the CRFPCP. The Annex 5.1 must be conducted according to the current CQA cycles of the PID site or CQA On-Farm Feed Mill. If a Type C CQA On-Farm Feed Mill manufactures feed for multiple PID sites, the CQA On-Farm Feed Mill validation is valid for 12 months. An on-site examination of the CQA On-Farm Feed Mill is required if a Full Validation of the PID site is required.

B. To complete the Annex 5.1, you must have available the following documents:

- ✓ **Proof of Registration to the CQA Program.** Same as section 2.2.1.

- ✓ **Proof the site is free from Ractopamine.** Same as section 2.2.1. The CQA On-Farm Feed Mill already registered to the CRFPCP has not manufactured feed containing Ractopamine since enrollment on the Program and records since the last CQA Validation supporting these conditions are available to auditors upon request. This CQA On-Farm Feed Mill has available the shipping documents or invoices (feed delivery slips) for each load of feed delivered since the last CQA validation or since enrollment on the Program.

- ✓ **Proof the site is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The CQA Manager must prove that the CQA On-Farm Feed Mill has not manufactured feed containing bovine meat and bone meal or used any supplements that may contain bovine meat and bone meal. CQA On-Farm Feed Mills must not manufacture feed for poultry or other species that contains bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used on-farm.

- ✓ **Annex 3 - Letter of Guarantee for the Feed Component.** Same as section 2.2.1.

- ✓ **Feed mixing and sequencing records**. Same as section 2.2.1. CQA Feed mixing and sequencing records are maintained, kept on file since the last CQA validation and available for inspection upon request.

- ✓ **Feed of unknown origin:** Same as section 2.2.1.

- ✓ **Responsibilities**: Same as section 2.2.1.

2.3 Type A and B PID site

The Farrow-Finish Type A and B PID site that are already enrolled to the CRFPCP through the previous enrollment of a finishing PID site are excluded from the requirements of this section and automatically registered on the CRFPCP.

2.3.1 Type A PID site

Type A PID site are registered under the CQA Program that were previously approved under the CFIA EU Ractopamine-Free Pork Program; OR registered under the CQA program that are able to demonstrate that, prior to enrollment under the CRFPCP, the site has not kept feed containing Ractopamine and pigs fed with feed containing Ractopamine on-site for 12 months.

2.3.2 Type B PID site

Type B PID sites are registered under the CQA Program and have raised pigs fed with feed containing Ractopamine in the last 12 months. To become eligible under the CRFPCP, they must undergo a complete clean-up (Annex 1) prior to introducing pigs raised according to this program. CQA records supporting these conditions are available for program assessment or audits. This PID site must complete the conditional carcass sample test from the first lot of animals and **test negative** for the presence of Ractopamine in order to verify that the cleaning and control procedures were performed efficiently. This testing will not be considered as part of the mandatory randomized annual testing required by the slaughter establishments. Approval of the PID site is conditional on **negative test results**. Meat derived from the sampled lots can not be exported till the test results come back negative. Once negative test results are received, meat may be exported. The slaughter establishment will send an updated list to the CQA Provincial Coordinator to confirm the enrollment of the PID site.

2.3.3 Type A and B PID site: Program Documentation for Enrollment

A. Annex 5 – On-Site Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed Mills

The On-Farm Enrollment Assessment Checklist must be completed by the external assessor to evaluate if the PID site meets the program requirements. The Annex 5 checklist contains aspects related to the CQA program protocols and records associated with the mixing, delivery and storage of feeds on the production unit as applicable. If there is more than one barn per PID site that is being enrolled, all applicable barn identifiers and their respective herd marks must be listed on the Annex 5.

B. To complete the Annex 5 you must have available the following documents:

- ✓ **Proof of Registration to the CQA Program.** The CQA Manager must prove that the PID site is registered to the CQA Program (e.g.: CQA certificate), has a valid status, and has no outstanding corrective actions related to the CQA program.

- ✓ **Exclusive herd mark(s).** The PID site must have barn-exclusive herd marks issued by the provincial authority.

- ✓ **Proof the PID site is free from Ractopamine.** The CQA Manager must prove that the PID site has not manufactured feed containing Ractopamine for the last 12 months or since the clean-up (Annex 1) was completed. This PID site has started collecting shipping documents or invoices (feed delivery slips) for each load of feed, confirming that the feed being delivered has been made in accordance with the CRFPCP.

- ✓ **Proof the site is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The CQA Manager must prove that the PID site has not fed feed containing bovine meat and bone meal or use any supplements that may contain bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used on-farm. Prior to March 31, 2018, Annex 14 - Declaration by Producer or CQA Manager on the use of bovine meat and bone meal form will be signed by all producers registered to the CRFPCP to ensure compliance with the new requirement.

- ✓ **Records for incoming animals.** The CQA Manager must have available the supporting records demonstrating that the incoming animals have not been fed with feed containing Ractopamine in the past 12 months or since a clean-up (Annex 1) was completed and these records are maintained and available to auditors upon request.

- ✓ **Annex 3 - Letter of Guarantee for the Feed Component.** The CQA Manager or Producer of the PID site has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP. Annex 3 is not required for single feed ingredients (such as concentrated minerals, vitamins, flavors and enzymes) manufactured in facilities other than Type A, B and D (bulk or bagged). Annex 3 and shipping documents confirm that each load of feed supplied to the farm is made in accordance with the CRFPCP requirements.

- ✓ **Feed of unknown origin:** This CQA On-Farm Feed Mill has controls in place to ensure that feeds of unknown origin are not accepted.

- ✓ **CQA validation report**: The CQA validation report is maintained on file since the last validation and available for review to auditors upon request.

- ✓ **Responsibilities:** The CQA Manager and the barn personnel are aware of the CRFPCP CQA Manager and Producer responsibilities and are aware that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the PID site.

Additional questions for Type B PID sites:

- ✓ **Annex 1 - Clean up record.** If this on-farm feed mill has manufactured feed containing Ractopamine in the past 12 months, appropriate clean-up of the feed mill has been

demonstrated, including records of clean-up in accordance with Annex 1 of the CRFPCP. The PID site has started collecting the shipping documents or invoices (feed delivery slips) for loads of feed delivered since the clean-up (Annex 1), confirming that the feed being delivered has been made in accordance with the Program.

- ✓ **Ractopamine-Free animals**: Any animals that may have been exposed to Ractopamine have been removed or identified and segregated from incoming Ractopamine-free pigs. The barn and equipment that may have been exposed to feed containing Ractopamine or animals fed with Ractopamine have been cleaned.

C. Annex 2 - Agreement between the PID site and the Slaughter Establishment

Upon completion of the Annex 5, the CQA Manager or Producer must sign Annex 2 with the slaughter establishment declaring that the PID site is registered under the CRFPCP requirements. A copy of Annex 2 must be kept at the PID site.

2.3.4 Maintaining the CRFPCP status

A. Annex 5.1 - Annual Assessment Checklist for PID sites and On-farm Feed Mills

An Annex 5.1 - Annual Assessment Checklist is mandatory for a PID site to remain enrolled on the CRFPCP. The Annex 5.1 must be conducted according to the current CQA cycles of the PID site. If there is more than one barn per PID site that is being enrolled, all applicable barn identifiers and their respective herd marks must be listed on the Annex 5.1.

B. To complete the Annex 5.1, you must have available the following documents:

- ✓ **Proof of Registration to the CQA Program.** Same as section 2.3.3.

- ✓ **Exclusive herd mark(s).** The PID site must have barn-exclusive herd mark(s) issued by the provincial authority.

- ✓ **Annex 5 – Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed Mills.** The PID site must have a copy of the Annex 5 on-site stating that the PID site meets the requirements of the CRFPCP.

- ✓ **Annex 2 - Agreement between the PID site and the Slaughter Establishment.** The PID site has a signed Annex 2 with the slaughter establishment stating that animals have not been fed with Ractopamine.

- ✓ **Proof the PID site is free from Ractopamine.** The CQA Manager must prove that the PID site has not manufactured feed containing Ractopamine since the last CQA validation or since enrollment on the program. This PID site has available shipping documents or invoices (feed delivery slips) for each load of feed, confirming that the feed being delivered has been made in accordance with the CRFPCP.

- ✓ **Proof the site is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The CQA Manager must prove that the PID site has not fed feed containing Bovine meat and bone meal or used any supplements that may contain bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used on-farm.

- ✓ **Shipping documents or invoices.** The PID site has available the shipping documents or invoices (feed delivery slips) for each load of feed delivered since the last CQA validation or since enrollment on the program, confirming that the feed being delivered has been made in accordance with the Program.

- ✓ **Record for incoming animals.** The PID site has available the supporting records since the last CQA Validation or since enrollment on the program demonstrating that the incoming animals have not been fed with feed containing Ractopamine and records are maintained and available to auditors upon request.

- ✓ **Annex 4 - Swine Movement Document for outgoing animals.** The PID site has available Swine Movement Documents for all shipments of pigs from this production site, and copies are kept on site since the last CQA Validation or since enrollment on the program and are available to auditors upon request.

  For each lot of pigs shipped to slaughter, the CQA Manager shall provide the transporter with a completed and signed section 1 of the Annex 4 - Swine Movement Document. Annex 4 is provided with each lot of pigs which will cover multiple truckloads unloaded at the same slaughter establishment on the same day. An electronic signature, a scanned signed document, and a picture of the signed document are acceptable.

  The template of Annex 4 is an example only. The slaughter establishment can modify this document as needed, as long as the mandatory elements listed in the Annex 4 are included. However, it is strongly recommended to use the Annex 4 - Swine Movement Document since, under the Traceability Regulations/Pig Trace Canada, a Swine Movement Document must accompany every lot of pigs transported.

- ✓ **Annex 3 - Letter of Guarantee for the Feed Component.** Same as section 2.3.3.

- ✓ **Feed of unknown origin:** This CQA On-Farm Feed Mill has controls in place to ensure that feeds of unknown origin are not accepted.

- ✓ **CQA validation report:** Same as section 2.3.3.

- ✓ **Responsibilities:** Same as section 2.3.3.

2.4 Assembly yard

An assembly yard is a facility that temporarily (up to a maximum of 15 days) holds animals and could feed those animals according to the applicable regulations. An assembly yard might keep pigs from single or multiple sources and in some cases multiple species. An assembly yard may not have its exclusive herd mark (Not a regulatory requirement). The assembly yard must have a PID number approved by a provincial authority (pig trace program). Ensure animals are identified according to federal identification and movement reporting on traceability regulation (see PigTrace Canada pigtrace.ca/animal-identification). Assembly yards must have a control program to identify the animals received without original herd mark.

2.4.1 Type A Assembly Yard

A Type A assembly yard receives only animals that are registered on a Ractopamine-Free Program. A Type A assembly yard is able to demonstrate that, pigs and/or other species that have never been fed feed containing ractopamine (with supporting documentation) and feed containing Ractopamine has not been kept on this facility in the last 12 months. This assembly yard must complete the conditional carcass sample test from the first lot of animals and **test negative** for the presence of Ractopamine in order to verify that the control procedures are performed efficiently.This testing will not be considered as part of the mandatory randomized annual testing required by the slaughter establishments. Meat derived from the sampled lots can not be exported untill the test results come back negative.

2.4.2 Type B Assembly Yard

A Type B assembly yard is **unable to demonstrate** that, pigs and/or other species have never been fed with feed containing ractopamine, and that the feed containing Ractopamine has not been kept on this facility in the last 12 months, but it has implemented Annex 1, clean-up procedure and is able to demonstrate that the ractopamine free status is maintained after the clean up. This assembly yard must complete the conditional carcass sample test from the first lot of animals and **test negative** for the presence of Ractopamine in order to verify that the control procedures are performed efficiently. This testing will not be considered as part of the mandatory randomized annual testing required by the slaughter establishments. Approval of the assembly yard is conditional to the **negative test results**. Meat derived from the sampled lots can not be exported untill the test results come back negative.

2.4.3 Program Documentation for Enrollment

A. Annex 5.2 - On-Site Enrollment Assessment Checklist for assembly yards

The Annex 5.2 must be completed by an external assessor contracted by the slaughter house to evaluate if the assembly yard meets the program requirements. Upon favorable enrollment assessment, the assembly yard will be identified as having met the CRFPCP requirements. Enrollment records will be kept at the slaughter establishment(s) and at the assembly yard.

B. To complete the Annex 5.2 you must have available the following documents:

✓ **Annex 4 - Swine Movement Document for incoming animals.** If Annexes 4, Swine Movement Document for incoming animals and shipping documents or invoices for each load of feed (if applicable) are not available, the assembly yard has to complete an appropriate clean-up in accordance with Annex 1 of the CRFPCP.

The assembly yard must have on file completed and signed Annexes 4 - Swine Movement Document for each shipment of pigs from PID sites to confirm all animals being transferred to the assembly yard are registered under the CRFPCP.

✓ **Annex 4 - Swine Movement Document for outgoing animals.** The template of Annex 4 is an example only. The Slaughter establishment can modify this document as needed, as long as the mandatory elements listed in the Annex 4 are included. However, it is strongly recommended to use the Annex 4 - Swine Movement Document since under the Traceability Regulations/Pig Trace Canada, a Swine Movement Document must accompany every lot of pigs transported.

For each lot of pigs shipped to slaughter, the owner or person in charge of the assembly yard shall provide the transporter with a completed and signed section 1 of the Annex 4 - Swine Movement Document. If the assembly yard is using ear tags numbers, those shall be written on the Annex 4 - Swine Movement Document in addition to animal identifiers (e.g. herd mark or ISO tag number), if applicable. Electronic signature, scanned signed document, picture of signed document are accepted.

✓ **Annex 3 - Letter of Guarantee for the Feed Component if applicable.** The owner or person in charge of the assembly yard shall obtain a letter of guarantee for the feed component (Annex 3) from all Type A, B, or D Commercial feed facilities confirming that the facility meets the requirements of the CRFPCP. Confirmation that each load of feed supplied to the assembly yard is made in accordance with the requirements of the program will be provided by providing shipping documents or invoices for each load of feed (feed delivery slips) delivered in the last 12 months.

✓ **Proof the assembly yard is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The person in charge of the assembly yard has not fed feed containing Bovine meat and bone meal or used any supplements that may contain bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used at the assembly yard. Prior to March 31, 2018, Annex 15 - Declaration by person in charge of the assembly yard on the use of bovine meat and bone meal form will be signed by all assembly yards registered to the CRFPCP to ensure compliance with the new requirement.

✓ **Responsibilities:** The owner or person in charge of the assembly yard is aware of its responsibilities, listed in section 2.1.6 of the CRFPCP, and are aware that the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the Assembly Yard.

2.4.4 Maintaining the CRFPCP status

A. Annex 5.3 – Annual Assessment Checklist for assembly yards

An Annex 5.3 - Annual Assessment Checklist is mandatory for Assembly Yard to remain enrolled on the CRFPCP. The Annex 5.3 must be completed annually.

B. To complete the Annex 5.3 you must have available the following documents:

- ✓ **Annex 5.2**: The owner or person in charge of the assembly yard has on file a copy of Annex 5.2.

- ✓ **Annex 4 - Swine Movement Document for incoming animals.** If Annexes 4, Swine Movement Document for incoming animals and shipping documents or invoices for each load of feed (if applicable) are not available, the assembly yard has to complete an appropriate clean-up in accordance with Annex 1 of the CRFPCP.

  The assembly yard must have on file completed and signed Annexes 4 - Swine Movement Document for each shipment of pigs from PID sites to confirm all animals being transferred to the assembly yard are registered under the CRFPCP.

- ✓ **Annex 4 - Swine Movement Document for outgoing animals.** For each lot of pigs shipped to slaughter, the owner or person in charge of the assembly yard shall provide the transporter with a completed and signed section 1 of the Annex 4 - Swine Movement Document. If the assembly yard is using ear tags numbers, those shall be written on the Annex 4 - Swine Movement Document in addition to animal identifiers (e.g. herd mark or ISO tag number).

  The template of Annex 4 is an example only. The Slaughter establishment can modify this document as needed, as long as the mandatory elements listed in the Annex 4 are included. However, it is strongly recommended to use the Annex 4 - Swine Movement Document since under the Traceability Regulations/Pig Trace Canada, a Swine Movement Document must accompany every lot of pigs transported. Electronic signature, scanned signed document, picture of signed document are accepted.

- ✓ The owner or person in charge of the assembly yard has available all Annex 4-Swine Movement Document for all shipment of pigs from this assembly yard to the slaughter establishment, and a copy is kept on site for at least 12 months and record are available to auditors upon request.

- ✓ **Shipping documents or invoices**: If the Assembly Yard needs to buy feed, the owner or person in charge of the assembly yard has all shipping documents or invoices (feed delivery slips) for feed delivered in the last 12 months or since the enrollment, to demonstrate that the feed being delivered has been made in accordance with the CRFPCP.

- ✓ **Annex 3 - Letter of Guarantee for the Feed Component if applicable.** If the Assembly Yard needs to buy feed, the owner or person in charge of the assembly yard shall obtain a letter of guarantee for the feed component (Annex 3) from all Type A, B, or D Commercial feed facilities confirming that the facility meets the requirements of the CRFPCP. Confirmation that each load of feed supplied to the assembly yard is made in accordance with the requirements of the program will be provided by providing shipping documents or invoices for each load of feed (feed delivery slips) delivered in the last 12 months.

- ✓ **Proof the assembly yard is free from bovine meat and bone meal:** Bovine meat and bone meal can possibly be contaminated with high concentrations of Ractopamine. The person in charge of the assembly yard has not fed feed containing Bovine meat and bone meal or used any supplements that may contain bovine meat and bone meal. This will be evaluated by verifying the feed delivery slips and feed ingredients list of the rations and supplements used at the assembly yard. The owner or person in charge of the assembly yard must implemented protocols to ensure other species are segregated from CRFPCP pigs.

- ✓ **Proof the assembly yard has a program to keep the ractopamine free animals in pens dedicated for this purpose only:** The pens used for animals received under ractopamine free program must be identified as dedicated for the ractopamine free animals only.

- ✓ **Responsibilities:** The owner or person in charge of the assembly yard is aware of its responsibilities, listed in section 2.1.6 of the CRFPCP, and are aware that the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the Assembly Yard.

2.5 Trace-out and Communication

The Trace-out is to be completed on PID sites with multiple barns that are not connected to each other. The Trace-out will be completed in the occurrence of introduction or likely introduction of Ractopamine on-farm. The CQA Provincial Coordinator with a feed industry representative will determine which barns have been contaminated or likely contaminated with Ractopamine by following the Trace-out Checklist (Annex 5.4). The barns identified as contaminated will be delisted from the CRFPCP and have to follow the enrollment process for a **Type B** PID site to reenroll to the CRFPCP. The Ractopamine-free barns will stay enrolled to the CRFPCP. The CQA Provincial Coordinator is responsible to communicate the results of the Trace-out checklist with the CQA National Coordinator and the slaughter establishment.

2.6 Change in PID site Type or Slaughter House

A **Type B** PID site becomes automatically a **Type A** PID site when the first Annex 5.1 is completed 12 months after enrollment, if all CRFPCP requirements are met.
If a PID site decide to ship pigs to another slaughter establishment, the CQA manager shall send to the new slaughter establishment the existing Annex 5 and a new Annex 2 with its

signature. Any other changes in an existing PID site registered under this program will be reported to the slaughter establishment using either Annex 5 or Annex 5.1.

2.7 Record Keeping for PID site, CQA On-Farm Feed Mill and Assembly Yard

Clear and comprehensive documentation of the CRFPCP must be kept on site and made available to auditors upon request.

2.7.1 For PID site and CQA On-Farm Feed Mill:

The documentation must be kept on site since the last CQA Validation or since enrollment. The documentation includes:

- ❒ Last CQA Validation report
- ❒ Annex 1 - Clean-up procedures
- ❒ Annex 2 - Agreement between the PID site and the Slaughter Establishment
- ❒ Annex 3 - Letters of guarantee from Commercial Facilities
- ❒ Annex 4 - Swine Movement Document
- ❒ CQA Feed Mixing and Sequencing Records
- ❒ CQA Ration Used On-Farm
- ❒ Shipping documents or invoices (feed delivery slips)

2.7.2 For Assembly yard

The documentation must be kept on site for a minimum of 12 months. The documentation includes:

- ❒ Annex 1 - Clean-up procedures
- ❒ Annex 3 - Letters of guarantee from Commercial Facilities (if applicable)
- ❒ Annex 4 - Swine Movement Document for incoming animals
- ❒ Annex 4 - Swine Movement Document for outgoing animals
- ❒ Shipping documents or invoices (feed delivery slips) (if applicable)
- ❒ Records demonstrating that any other species held at the assembly yard are on a CFIA registered Ractopamine-Free Program (if applicable).

2.8 Deviation for PID site, CQA On-Farm Feed Mill and Assembly Yard

2.8.1 Minor deviation- Adherence with corrective action

1. **A Minor Deviation is:**
   a) One of the items listed in the Annex 5, 5.1, 5.2 or 5.3 is identified by the external assessor or validator at the time of the assessment as non-compliant.

2. **Corrective Action for a Minor Deviation**:
   a) The facility concerned will be required to correct the deviation **within 15 business days** after the assessment in order to remain on the list of enrolled facilities. Verification by the external assessor or validator is necessary to confirm that the situation is corrected and will be noted on Annex 5, 5.1, 5.2 or 5.3.

2.8.2 Major Deviation – Non-Adherence or Revocation:

1. **Major Deviations are:**

a) The introduction or likely introduction of Ractopamine to a facility registered under the CRFPCP.
b) If a **Minor Deviation** is not corrected within **15 business days.**

2. **Corrective Action Procedure for a Major Deviation with introduction or likely introduction of Ractopamine to a facility:**
   a) Do not ship any animals to a slaughter establishment registered under the CRFPCP until clear direction has been given by the CQA Provincial Coordinator.
   b) Contact the CQA Provincial Coordinator and slaughterhouse within 24 hours.
   c) With the CQA Provincial Coordinator, determine if the facility is eligible for a Trace-out (Annex 5.4). The Trace-out checklist can be conducted on PID site with multiple barns that are not connected to each other (see section 2.5 for further details).
   d) Removing contaminated feed or livestock from the facility.
   e) For a PID site or CQA On-Farm Feed Mill re-enrollment follow section 2.3.
   f) For an Assembly Yard re-enrollment follow section 2.4.

The facility will be delisted by removing it from the Program's eligibility list until the re-enrollment process is approved by the slaughter establishment.

2.9 Requirements Verification

The table below list the program requirements and the documents that must be verified to assess the conformity of the requirements. The Deviation column identify the type of nonconformity, if a requirement is not met.

PID site and/or CQA On-Farm Feed Mill Requirements	Verify the following documents	Deviation (When requirements are not met)
The PID site and/or CQA On-Farm Feed Mill is registered on the CQA Program and has a valid status.	Certificate or letter of certification from CQA Provincial Office	Not eligible
This PID site and/or CQA On-Farm Feed Mill does not have any outstanding corrective actions related to the CQA Program.	Last CQA validation report or contact the CQA Provincial Office	Minor
The PID site has barn-exclusive herd mark(s).	Each barn registered to the program must have barn-exclusive herd mark	Not eligible
This PID site and/or CQA On-Farm Feed Mill has not kept or manufactured feed containing Ractopamine for the last 12 months or since a clean-up was completed (in accordance with Annex 1) and records supporting these conditions are available to auditors upon request.	Verify a subset of the feed delivery slip and CQA Ration Used On-Farm Record or completion of the Annex 1	Not eligible

The PID site and/or CQA On-Farm Feed Mill has obtained an Annex 3 (letter(s) of guarantee), issued by an enrolled Commercial Feed Facilities (Type A-B-D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP.	Verify a subset of letters of guarantee (Annex 3) signed by an enrolled feed facility	Minor
This PID site and/or CQA On-Farm Feed Mill has started collecting the shipping documents or invoices (feed delivery slips) for each load of feed delivered.	Verify that Feed delivery slips for each load of feed	Minor
The CQA On-Farm Feed Mill Feed mixing and sequencing records are maintained, kept on file since the last CQA validation and available for inspection upon request.	Verify that CQA Feed Mixing and Sequencing Records	Minor
This PID site and/or CQA On-Farm Feed Mill has control in place to ensure that feeds of unknown origin are not accepted.	Verify that CQA Ration Used On-Farm Record and feed delivery slips or tag (Procedure)	Minor
The PID site has available supporting records demonstrating that the incoming animals have not been fed with feed containing Ractopamine in the past 12 months or since the clean-up (Annex 1) was completed.	Reviewing a subset of the Swine Movement Document for incoming animals	Minor
The PID site has available supporting records demonstrating that the outgoing animals have not been fed with feed containing Ractopamine in the past 12 months or since the clean-up (Annex 1) was completed.	Reviewing a subset of the Swine Movement Document for outgoing animals	Minor
The person in charge of the CQA On-Farm Feed Mill is aware that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the on-farm feed mill.	Verify that Annex 5 has been completed at enrolment or Annex 5.1 completed during the yearly CQA validation cycle	Minor
The person in charge is aware of the CRFPCP CQA Manager or Producer responsibilities.	Verify Annex 5 has been completed at enrolment or Annex 5.1 completed during the yearly CQA validation cycle	Minor
Any animals that may have been exposed to Ractopamine have been removed or identified and segregated from incoming Ractopamine-free pigs. The barn and equipment that may have been exposed to feed containing ractopamine or animals fed with ractopamine have been cleaned.	- Verify that Annex 1 has been completed - Verify that Annex 5 has been completed at enrolment or Annex 5.1 completed during the yearly CQA validation cycle	Major
Recordkeeping: records must be kept since the last CQA Validation or since enrollment.	Verify: – Last CQA Validation report – Annex 1 - Clean-up	Minor

	procedures – Annex 3 - Letters of guarantee from Commercial Facilities – CQA Feed Mixing and Sequencing Records – CQA Ration Used On-Farm – Feed delivery slips – Annex 4 - Swine Movement Document	
Assembly yard elements		
The Assembly yard is registered in the program and has a valid status.	– Annex 5.2 completed by the external assessor and the assembly yard representative.	Not eligible
The Assembly yard does not have any outstanding corrective actions related to the CRFPCP	– Annex 5.3 completed annually after enrolment by the external assessor and the assembly yard representative.	Minor
The Assembly yard has not kept feed containing Ractopamine for the last 12 months or since a clean-up was completed (in accordance with Annex 1) and records supporting these conditions are available to auditors upon request.	– Annex 5.2 at enrolment or Annex 5.3 completed annually after enrolment by the external assessor and the assembly yard representative.	Not eligible
The Assembly yard has obtained an Annex 3 (letter(s) of guarantee), issued by an enrolled Commercial Feed Facilities (Type A-B-D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP (if applicable).	– Reviewing a subset of letters of guarantee (Annex 3) signed by an enrolled feed facility	Minor
The Assembly yard has started collecting the shipping documents or invoices (feed delivery slips) for each load of feed delivered (if applicable).	– Feed delivery slips for each load of feed	Minor
The Assembly yard has available supporting records demonstrating that the incoming animals have not been fed with feed containing Ractopamine in the past 12 months or since the clean-up (Annex 1) was completed.	– Annex 4 Swine Movement Document for incoming animals	Minor
The Assembly yard has available supporting records demonstrating that the outgoing	– Annex 4 Swine Movement	Minor

animals have not been fed with feed containing Ractopamine in the past 12 months or since the clean-up (Annex 1) was completed.	Document for outgoing animals	
The person in charge of the assembly yard is aware that the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the facility.	– Interview question	Minor
The person in charge is aware of the CRFPCP assembly yard representative responsibilities.	– Interview question	Minor
Any animals that may have been exposed to Ractopamine have been removed or identified and segregated from incoming Ractopamine-free pigs. The facility and equipment that may have been exposed to feed containing Ractopamine or animals fed with Ractopamine have been cleaned.	– Annex 1 is completed On-site observation and interview	Major
Recordkeeping: records must be kept since the last completion of Annex 5.2 at enrolment or 5.3 annually after enrolment.	– Annex 1 Clean-up procedures – Annex 3 Letters of guarantee from Commercial Facilities – Feed delivery slips – Annex 4 Swine Movement Document for incoming and outgoing animals – Annex 5.2 at enrolment – Annex 5.3 annually after enrolment	Minor

2.10 Requirements Summary for Type A and B PID site and Assembly Yard

PID site			
Requirements	**Type A PID site**	**Type B PID site**	**Assembly Yard**
Annex 1 clean-up procedure	No	Yes	Yes, if no documentation of the previous last 12 months is available
Annexes 3. Letters of guarantee from commercial feed facilities	Yes	Yes	When applicable
Annex 4. Swine Movement Document for incoming animals	Yes	Yes	Yes
Annex 4. Swine Movement	Yes	Yes	Yes

Document for outgoing animals			
Annex 5. PID site and On-Farm Feed mill- Enrollment Assessment Checklist	Yes	Yes	N/A
Annex 5.1. PID site and On-Farm Feed Mill Annual Assessment checklist	Yes	Yes	N/A
Annex 5.2. Assembly Yard - Enrollment Assessment Checklist	N/A	N/A	Yes
Annex 5.3. Assembly Yard Annual Assessment checklist	N/A	N/A	Yes
Carcass sampling for enrollment of Type B PID site or assembly yard	N/A	Yes	Yes

2.11 Requirements Summary for Type C - CQA On-Farm Feed Mill

Requirements	Feed Facility Type Type C
Program Enrollment	
Be enrolled in sector quality assurance program	Yes, CQA Program
Conduct and document cleanout in accordance with Annex 1 if facility has used ractopamine in the past 12 months	Yes, if applicable
Receive Letters of Guarantee (Annex 3) for all incoming mixed feeds and single ingredient feeds purchased from commercial feed facilities	Yes
Submit completed *Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed* Mills (Annex 5) to slaughter plant	Yes
Program Annual Assessment	
After program enrollment, handle only feeds that do not contain ractopamine	Yes
Complete the Annual Assessment Checklist for PID sites and On-farm Feed Mills Annex 5.1.	Yes
Maintain on-site for 12 months and make available on request program documentation, e.g., audit reports, supporting records, copies of letters of guarantee.	Yes
Remain enrolled in CQA program	Yes

3. Transportation

For every shipment, the transporter shall provide assurances that the animals were not fed with feed containing ractopamine and will not be commingled during their transport to the slaughter establishment with animals fed with feed containing ractopamine.

The transporter shall present the Swine Movement Document (Annex 4) containing the relevant information for the program to the operator of the slaughter establishment prior to unloading any animal. The Swine Movement Document (Annex 4) electronically signed or, signed and scanned, or signed and pictured can be provided prior to the transportation of the animals to the slaughter establishment.

4. Slaughter Establishments

4.1 Roles and responsibilities

Demonstrating of on-going conformity with the program requirements is the responsibility of the individual slaughter operator. For slaughter establishments, the written control program must be reviewed and assessed by the CFIA veterinarian in charge and regional veterinary officer prior to approval. CFIA meat inspection staff will be conducting appropriate Compliance Verification System export task(s) according to national frequency, as a minimum. The control program must also ensure that any feed brought into the slaughter establishment to feed the animals (when slaughter is delayed) is ractopamine free.

4.1.1 The responsibilities of the slaughter establishment operator are:

Producing pork meat and meat products in accordance with the requirements of the CRFPCP.

a) Receiving a signed Annex 5 from the external assessor.
b) Confirming the eligibility of the PID sites for enrollment to the program by signing section IV of Annex 5 when completed.
c) Keeping a copy of the completed Annex 5 and an updated Annex 5.1 onsite.
d) Sending the completed and signed Annex 5 to the CQA Provincial Coordinator office for each PID site on an ongoing basis.
e) Keeping an updated list of enrolled PID sites and assembly yards when applicable with the following information:
    1. Production Unit Name/Farm/assembly yard Name
    2. Facility Contact
    3. Mailing Address
    4. Telephone
    5. Barn Identification
    6. Barn Address/Physical Address (Legal land description)
    7. PID number, CQA number optional
    8. Herd mark(s) or ear tag number for assembly yard
    9. Under which type was the PID site or assembly yard enrolled (Type A or B)
    10. If the PID site or assembly yard is enrolled under Type B: Date on which the negative result for testing was received
    11. Identify whether the PID site has an on-farm feed mill or define the type of Commercial Feed Facilities (Type A-B-D) supplying feed
    12. Date of enrollment
    13. Date of delisting/reenlistment (when applicable)
f) Maintaining and updating the list of enrolled PID sites at the slaughter plants
g) Sharing list of enrolled PID sites with CQA Provincial Coordinators.
h) Informing the CQA Provincial coordinator about updates to PID sites status, when applicable.
i) Contacting the CQA Provincial Coordinator in the case of a change of ownership of the animals or the PID site.

j) Making the lists of enrolled PID sites and assembly yard available to CFIA inspectors and foreign auditors at any time.
k) Receiving Annex 4 from the producer or transporter. (electronic signature, scanned signed or picture of signed Swine Movement Document are accepted). Annex 4 must be kept on file for at least 2 years.
l) Assisting CFIA inspection staff with assessment activities including provision of copies of written procedures and records for review as requested by, answering inquiries related to the implementation of the written program and provision of accurate information related to the facility's operations, etc.
m) Identify and correct deviations in a timely and appropriate manner.
n) Developing and implementing acceptable and effective action plans in response to any deviation identified including those needed when a port-of-entry violation might occur.
o) Operator must also have a written program for obtaining a ractopamine free feed for the animals that need to be fed in the slaughter establishment in case the slaughter is delayed more than 24 hours.

4.1.2 The responsibilities of the CFIA meat inspection staff are to:

a. Assessing conformity of the facility with the program and ensuring Operators effectively address any non-conformities identified.
b. Review and assess the written control programs prior to accepting the establishment under the program;
c. Conduct appropriate verification tasks according to the national frequency and when deemed necessary;
d. Communicate verification task results to the operator as per the Operational Procedure: Meat Compliance Verification System (CVS);
e. Follow-up on items requiring correction.

4.2 Type A Slaughter Establishments

Operators of these slaughter establishments must develop, implement and maintain control programs to avoid the entry of any pigs fed with feed containing Ractopamine as a requirement of the Canadian Ractopamine-Free Pork Certification Program. The control program shall contain all key elements of a recognized Quality Control Program (e.g. written performance standards, deviation procedures, records, monitoring, verification procedures, annual review, etc.).

4.3 Type B Slaughter Establishments

Operators of these slaughter establishments must develop, implement and maintain control programs covering the identification, traceability, cross-contamination, segregation, handling, packaging, employee training and any other relevant components of the Canadian Ractopamine-Free Pork Certification Program. The control program shall contain all key elements of a recognized Quality Control Program (e.g. written performance standards, deviation procedures, records, monitoring, verification procedures, annual review, etc.). Special consideration shall be made with respect to the prevention of exposure of live animals

that were not fed with feed containing Ractopamine with those that are not in the program.

The CFIA veterinarian or inspector in charge must be notified in advance of the arrival time of pig shipments under this program.

4.4 Program Documentation

The operator shall have the signed enrolment assessment and declaration of pig suppliers stating that the PID sites have a valid registration in the Canadian Quality Assurance (CQA) program as meeting the Canadian Ractopamine-Free Pork Certification Program requirements (Annex 2 and 5 for PID site and 5.2 for assembly yards).

The slaughter establishment's control program and the relevant up-to-date list of eligible PID sites shall be presented to the CFIA veterinarian in charge and regional veterinary officer for review and assessment, prior to approval. Each slaughter establishment will be keeping an updated list of the enrolled PID sites and assembly yards containing the following information:

- Production Unit Name/Farm/assembly yard Name
- Facility Contact
- Mailing Address
- Telephone
- Barn Address/Physical Address (Legal land description)
- PID number, CQA number optional (non applicable for assembly yards)
- Herd mark(s) or ear tag number for assembly yard
- Under which type was the PID site or assembly yard enrolled (Type A or B)
- If the PID site or assembly yard is enrolled under Type B: Date on which the negative result for testing was received.
- Identify whether the PID site has an on-farm feed mill or define the type of Commercial Feed Facilities (Type A-B-D) supplying feed
- Date of enrollment
- Date of delisting/reenlistment (when applicable)

This list will be shared with the CQA provincial coordinators. The list must be sent in the beginning of every year and must clearly identify all PID sites that have changed status (enrolled/delisted/reenlisted). These individual list will be accessible to CFIA inspectors and foreign auditors at each slaughter establishment. Any changes in an existing PID site registered under this program will be reported to the slaughter establishment using either Annex 5 or Annex 5.1

The transporter is to present the Swine Movement Document (Annex 4) to the operator of the slaughter establishment prior to unloading the animals under this program. An electronic signature, scanned signed or picture of signed Swine Movement Document (annex 4) can be provided prior to the transportation of the animals to the slaughter establishment. The Swine Movement Document (Annex 4) must be made available upon request to the CFIA veterinarian in charge.

4.5 Program Randomized Statistical Testing

Carcasses produced under the present program are subject to randomized statistical testing. Carcass testing requirements shall be based on the production volume of the slaughtering establishment. The number of pigs slaughtered in the last year under the CRFPCP or the industry/government forecast for the upcoming year, multiplied by 0.02%, results on the total number of carcass tests required for the year. The Veterinarian in charge/inspector in charge (VIC/IIC) at the slaughter establishment will determine a random sampling schedule by using a randomized calculation tool (e.g.: www.randomizer.com). The VIC/IIC will provide the slaughter establishment the sampling coordinates: sampling shall be distributed throughout the fiscal year. The VIC/IIC shall report annual ractopamine random sampling forecasting for the current fiscal year, using Annex 10, by contacting the following email address: ractopaminedatabase@inspection.gc.ca

Carcass sampling and shipment of the samples will be performed by the operator under the CFIA supervision. Liver or kidney tissue will be sampled and the establishments must run the ractopamine testing at a minimum frequency of every two months. The samples shall be sent to an accredited laboratory with a method for total ractopamine on its scope of accreditation, which is based on CFIA's reference method CVDR-M-3021.09. All laboratory results shall be sent immediately, simultaneously and directly to the plant and the CFIA veterinarian in charge. The operator must convey instructions to their contracted laboratory for reporting test results to the CFIA, using Annex 8, at the following email address: ractopaminedatabase@inspection.gc.ca

If a sample is found positive for Ractopamine, the operator must implement corrective and preventive actions without delay to ensure that product in non-compliance is identified and controlled, and that the root cause of the non-compliance is identified and managed. The CFIA will suspend certification procedures for countries requiring the present program, until the operator can confirm that products presented for export certification are derived from animals raised in accordance with the requirements of the Program. If the operator cannot confirm that products presented for export certification are derived from animals raised in accordance with the requirements of the Program, the products will be deemed ineligible for this program; however it could be eligible for countries with no Ractopamine restriction. Operator must follow annex 13 (Flow Chart) and share information about the investigation with CFIA inspection staff. CFIA will inform foreign competent authorities, in the case an ineligible product has reached a foreign country with Ractopamine restriction.

In the case of Ractopamine positive test results communicated by foreign officials to the CFIA (Port of Entry Violation), a corrective action request (CAR) will be issued and a root cause analysis must be performed by the operator throughout the supply chain. Findings must be then forwarded to the veterinarian in charge of the exporting establishment, along with an action plan (containing corrective and preventive actions). This action plan shall be to the satisfaction of the CFIA veterinarian in charge and the Veterinary Operations Specialist-Meat Export or substitute, before certification may resume.

4.6 Record keeping

Clear and comprehensive records must be kept and available for auditors on request for a

minimum of 2 years from the time meat is shipped.

4.7 Requirements Summary for Type A and Type B Slaughter Establishments

	Type of slaughter establishment	
Requirements	**Type A**	**Type B**
Written Control Program	Yes	Yes (with segregation requirements) Segregation must be performed in cutting/processing sectors of the establishment, if applicable.
Agreement with the slaughter establishment (Annex 2)	Yes	Yes
Enrollment assessment (Annex 5 or annex 5.2 if applicable) and up-dated list of enrolled PID sites	Yes	Yes
Swine Movement Document (Annex 4)	Yes	Yes
Randomized statistical carcass testing	Yes	Yes
Statistical carcass testing of newly enrolled type B PID sites	Yes	Yes
Reporting of results to CFIA and slaughter plant by contracted laboratories	Yes	Yes

5. Cutting establishments

Operators of stand-alone cutting establishments must develop, implement and maintain adapted control programs covering the identification, traceability, segregation, handling, packaging, employee training and any other relevant components of the CRFPCP. The control program shall contain all key elements of a recognized Quality Control Program (e.g. written performance standards, deviation procedures, records, monitoring, verification procedures, annual review, etc.).

Records shall be kept at minimum for 2 years and shall be made available to auditors upon request.

The control program must be reviewed and assessed by the CFIA inspector in charge and supervisor prior to approval.

6. Storage establishments

These storage establishments shall identify products eligible for export to a country as per

current export verification procedures (Completion of form CFIA/ACIA 5344 – Export Application Verification Form - Annex H)

Annex 1

Cleaning Procedure – CFIA Position on Eliminating Ractopamine from Feed Production Facilities

Although ractopamine is approved for use in specific livestock feeds manufactured in Canada, some trading partners are requesting foods of animal origin exported from Canada be certified as originating from animals that have never been treated with ractopamine.

With respect to the certification of pork products as per the CRFPCP, two types of feed production facilities are envisioned:

A. Facilities that do not handle ractopamine and/or manufacture feeds containing ractopamine (ractopamine-free facilities); and

B. Facilities that manufacture feeds containing ractopamine and feeds that have been manufactured using a system of preventive controls (including sequencing and flushing) for the prevention of cross contamination with ractopamine.

The CFIA recognizes that some feed production facilities that have, in the past, manufactured feeds that contain ractopamine may wish to implement cleaning protocols that would allow them to be considered ractopamine-free facilities. In order for these production facilities to meet the requirements for ractopamine-free facilities under the CRFPCP and to certify to clients that their products do not contain ractopamine, production facilities will need to provide assurances:

- that the ractopamine has been removed from their system; and
- that the premise and equipment have been cleaned in a manner acceptable to the CFIA.

The objective of the clean-up is to ensure the premises, bins, equipment and conveyances are free from ractopamine or feed containing ractopamine.

The following protocol outlines the CFIA's considerations regarding the manner in which this can be acceptably accomplished. The use of other methodology to achieve similar outcomes will be considered. Any such proposals shall be submitted to the CFIA for endorsement prior to their being undertaken.

Approved protocols may also be applied in premises where ractopamine is accidentally or otherwise re-introduced into feed production facilities that had been considered ractopamine-free. In these cases, naturally, other actions are required in such cases (e.g., immediate notification of producers, investigation, and plan for corrective and preventive actions).

Verification

To maintain uniformity across all feed production facilities, the feed production facility must fully document clean-up. These documents shall be signed and dated by the Production

Supervisor or designate.

Changeover to Ractopamine-free facility status

Prior to being recognized as Ractopamine-free, the feed production facility must demonstrate that all inventory has been cleared from the facility and an appropriate flush of the production system completed.

This shall include documentation that neither Ractopamine nor medicated feeds containing Ractopamine have been stored or used in the facility for a minimum of 10 days of full operation (must include at least one complete fill and empty for each of piece equipment in the production facility other than storage bins, compartments and conveyances). Additionally, the feed production facility must have documented records to demonstrate that all storage bins, compartments and conveyances have been emptied of all materials containing Ractopamine and cleaned according to the following cleaning protocol.

Approved Cleaning Protocol

Feed Production System Flush

Documentation shall demonstrate that there has been no storage or handling of Ractopamine and/or medicated feeds containing Ractopamine in the facility for a minimum of 10 days of full operation (must include at least one complete fill and empty for each of piece equipment in the production facility other than storage bins, compartments and conveyances). Such documentation must identify all feeds and feed ingredients that have been processed through the system subsequent to the last use of Ractopamine maintained by the facility for a minimum of two years and made available for review on request.

Clean-up of Storage Bins, Compartments and Conveyances

Visual Inspection

A visual inspection of each storage bin, compartment and conveyance will identify if there is accumulation of material that may contain residues of Ractopamine:

Procedure

1. If during the inspection a bin, compartment or conveyance is found to be in an unacceptable condition (e.g., hung up or bridged material or build-up on walls is present), the bin, compartment or conveyance must be thoroughly cleaned before being refilled.

2. Personnel, using shovels, brooms, scrapers, air pressure, vibration, etc. and in accordance with confined space safe work procedures, will thoroughly clean the designated bin, compartment or conveyance. Clean-up procedures will be documented when completed. These documents shall be signed and dated by the Production Supervisor or designate.

3. Documentation of clean-up inspections of storage bins, compartments and conveyances will be maintained by the facility for a minimum of two years and made available for review on request.

Where the documented evidence demonstrates that the above conditions have been met, the system will be deemed to have achieved adequate flush out of Ractopamine. No additional validation step is considered necessary by the CFIA.

To maintain uniformity across all feed production facilities, the CFIA anticipates operators would employ the cleaning protocol detailed above. The use of other methodology that achieves similar outcomes will be considered. The feed production facility shall submit such proposals to the CFIA for endorsement prior to their being undertaken.

Annex 2

Agreement between the Premise Identification (PID) site and the Slaughter Establishment

(Note: **This exact wording must be used**.)

Instruction:

- Complete the Annex 2 once the Annex 5 has been completed by the External Assessor.
- Send Annex 2 to the slaughter establishment.
- Keep a copy of Annex 2 on the PID site.
- If you change slaughter establishments, you may send the existing Annex 5 to the new slaughter establishment and sign a new Annex 2 with them.

Date:	
PID number of the site:	
Name of the CQA Manager:	
Name of the Producer / livestock owner:	
PID site Name / Farm Name:	
CQA number (optional):	
Mailing address:	
Phone Number:	Fax:

BARN IDENTIFIER NUMBER	HERD MARK(S)

Section I: Type of PID Site

☐ **Type A PID site** – PID site registered under the CQA program where pigs that have not been fed with feed containing Ractopamine in the last 12 months were raised.

☐ **Type B PID site** - PID site registered under the CQA program where pigs have been raised and fed with feed containing ractopamine in the last 12 months and has completed the clean-up (in accordance with Annex 1) procedures prior to introducing eligible animals.

Section II: Declaration of CQA manager or producer and Slaughter Establishment representative

- ❑ The PID site has a valid registration to the CQA Program.
- ❑ The PID site has demonstrated adherence to the requirements and may be enrolled to the CRFPCP.
- ❑ The CQA On-Farm Feed Mill has demonstrated adherence to the requirements and may be enrolled to the CRFPCP, if applicable.

Slaughter establishment name	**CQA Manager or Producer Signature**
Slaughter establishment representative Printed name	**CQA Manager or Producer Printed name**
Slaughter establishment representative Signature	**Date**
Date	

Annex 3

Letter of Guarantee for the Feed Component

(Note: This exact wording must be used.)

Instructions:

- ✓ Annex 3 must be completed by the Commercial Feed Facility (Type A/B/D) selling feed to any facilities enrolled in the CRFPCP (PID site, CQA On-Farm Feed Mill, Assembly Yard or Commercial Feed Facility Type A/B/D).
- ✓ Annex 3 must be kept on file at each PID site, CQA On-Farm Feed Mill, Assembly Yard or Commercial Feed Facility enrolled in the CRFPCP.
- ✓ Commercial feed facilities are required to immediately notify an enrolled customer in the event of the introduction, or likely introduction, of ractopamine into a feed they have received
- ✓

Intended recipient of the feed and/or feed ingredient:

Feed facility name, name of the PID site or assembly yard:
PID site number(s) (if applicable):
Name of CQA Manager or producer, assembly yard rep or Commercial Feed Facility rep:
Address (facility office/owner address):
Phone Number:

This letter of guarantee certifies that:

Feed Facility Name:
Feed Facility Code:
Address:
Phone Number:

is a Type _____ feed facility *(identify whether facility is Type A, B or D)* **enrolled in the CRFPCP** that has appropriate procedures in place to ensure that all feed and feed ingredients delivered to your facility meet the requirements of the CRFPCP.

All employees involved in manufacturing/handling of feed have read and understood the protocol and agree to participate in the production of feed under this program. Appropriate records are maintained through all phases of production to provide traceability of the feed to ensure it has not been in contact with ractopamine.

Name of facility representative:
Signature:
Position:
Date:

Annex 4
Swine Movement Document

Instructions:

1. The template of Annex 4 is an example only. The Slaughter establishment can modify this document as needed, as long as the mandatory elements listed below are included. However, it is strongly recommended to use the Swine Movement Document since under the Traceability Regulations/Pig Trace Canada, a Swine Movement Document must accompany every lot of pigs transported. The Swine Movement Document template below can be used for this purpose.

2. Annex 4, Swine Movement Document must include the following mandatory elements:
   Section 1: Producer / Assembly yard Section
   a) Premise identification (PID number);
   b) Barn-exclusive herd mark(s) or ear tag number if applicable;
   c) Shipment date;
   d) Total number of pigs shipped;
   e) Statement section completed, CQA Manager or Producer name printed, and signature dated;

Statements to be answered:
1: For CQA Farms to Assembly yard or Slaughter movement: "I attest these pigs were produced in accordance
with the CQA Program standards. All drugs withdrawal periods have been met."

2: For CQA Farm to Assembly or Slaughter movement: "I attest that these pigs were not fed with feed containing ractopamine and were produced in accordance with the Canadian Ractopamine-Free Pork Certification Program (CRFPCP)."

Section 2: Transporter
   a) Name and signature of the driver;
   b) Statements section completed, driver name printed, signature of the driver dated.

Statement to be answered:
"I hereby certify that these pigs were not mixed during transport with pigs non-certified to the CRFPCP and the truck was fully cleaned if livestock that may have come in contact with Ractopamine were previously transported in this vehicle."

Section 3: Destination
   a) Destination site name,
   b) Receiving person name at destination,
   c) Delivery date,
   d) Delivery time,
   e) Receiving person's signature.

3. Any other market requirement can be added to the statement section.
4. In the case of assembly yard using ear tags numbers, those shall be written on the Swine Movement Document instead of herd mark(s).
5. Electronic signature, scanned signed document, picture of signed document are accepted.

Annex 4
Swine Movement Document

This is an example only. The Slaughter establishment can modify this document as needed, as long as the mandatory elements listed above are included.

SWINE MOVEMENT DOCUMENT

SECTION 1: PRODUCER/ASSEMBLY YARD SECTION

PID SITE NAME: ..... PHONE #: ..... PID #: ..... DATE OF DEPARTURE (yy/mm/dd): ..... TIME OF DEPARTURE: .....

Barn exclusive herd mark (tattoo numbers or ear tag numbers)	Total Number of pigs	Fasting Period	Broken Needles YES	Broken Needles NO	Comments
			○	○	
			○	○	

Statements	YES	N/A
1A: For CQA Farms to Assembly or Slaughter movement: "I attest these pigs were produced in accordance with the CQA Program standards. All drugs withdrawal periods have been met."	○	○
1B: For CQA Farm to CQA Farm movement: "I attest these pigs were produced in accordance with the CQA Program standards." The longest outstanding withdrawal period ends on: _____ (date) or ○ No outstanding withdrawal period.	○	○
2A: For CQA Farm to Assembly or Slaughter movement: "I attest that these pigs were not fed with feed containing ractopamine and were produced in accordance with the Canadian Ractopamine-Free Pork Certification Program (CRFPCP)."	○	○
2B: For CQA Farm to CQA Farm movement: "I attest that these pigs were not fed with feed containing ractopamine."	○	○

PRODUCER OR PERSON IN CHARGE (Printed Name): .....

PRODUCER OR PERSON IN CHARGE SIGNATURE: ..... DATE (yy/mm/dd): .....

SECTION 2: TRANSPORTER SECTION

NAME OF TRANSPORT COMPANY: .....

LICENCE PLATE NUMBER OR CONVEYANCE IDENTIFICATION: ..... PHONE #: ..... TQA/CLT #: .....

Statement	YES	N/A
"I hereby certify that these pigs were not mixed during transport with pigs non-certified to the CRFPCP and the truck was fully cleaned if livestock that may have come in contact with ractopamine were previously transported in this vehicle."	○	○

DRIVER NAME (Printed): .....

DRIVER SIGNATURE: ..... DATE (yy/mm/dd): .....

SECTION 3: DESTINATION

DESTINATION SITE NAME: .....

DESTINATION PID#: ..... RECEIVING PERSON'S NAME AT DESTINATION (Printed): .....

DELIVERY DATE (yy/mm/dd): ..... DELIVERY TIME: ..... RECEIVING PERSON'S SIGNATURE AT DESTINATION: .....

Total Pigs # on arrival	DOA	Downers	Subjects	Comments

Annex 5

On-Site Enrollment Assessment Checklist for Premise Identification (PID) site and On-Farm Feed Mill.

(Note: This exact wording must be used if industry drafts its own Annex 5 form.)

Instructions:

1. The assessment must be done on-site.
2. Enrollment in the CRFPCP is based upon PID site.
3. If there is more than one barn per PID site that is being enrolled, all applicable barn identifiers and their respective herd marks must be listed on the Annex 5.
4. This amended version of Annex 5 must be completed only by PID sites that newly enroll (or re-enroll) in the CRFPCP or have undergone a change of ownership or change of management according to the CQA management system manual standards.
5. A copy of Annex 5 will be kept at the PID site, the slaughter establishment(s) and the CQA provincial office.

Date:	
PID number of the site:	
Name of the CQA Manager:	
Name of the Producer / livestock owner:	
PID site Name / Farm Name:	
CQA number (optional):	
Mailing address:	
Phone Number:	Fax:

BARN IDENTIFIER	HERD MARK(S)

A. If there is a CQA On-Farm Feed Mill on this PID site complete all remaining sections.

B. If this PID site receives feed from a CQA On-Farm Feed Mill located on another PID site, indicate the PID site number or address/LLD (Legal Land Description) of the CQA On-Farm Feed Mill and skip section I and II:

_____
C. If there is no CQA On-Farm Feed Mill on this PID site or if this PID site do not receive feed from a CQA On-Farm Feed Mill, check “N/A” below and skip section I and II. N/A ❒

Section I: CQA On-Farm Feed Mill Enrollment Assessment Checklist

#	CQA On-Farm Feed Mill Enrollment Requirements	YES	NO	N/A
1	The CQA On-Farm Feed Mill is registered on the CQA Program and has a valid status.			
2	This CQA On-Farm Feed Mill does not have any outstanding corrective actions related to the CQA Program.			
3a	This CQA On-Farm Feed Mill has not manufactured feed containing Ractopamine in the last 12 months or since a clean-up was completed (in accordance with Annex 1) and records supporting these conditions are available to auditors upon request.			
3b	This CQA On-Farm Feed Mill has not manufactured, had on site, or used any feed or supplements for any species (e.g. poultry feed) that contain bovine meat and bone meal in the last 12 months or since a clean-up was completed (in accordance with Annex 1), and records supporting these conditions are available to auditors upon request.			
4	The CQA On-Farm Feed Mill has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP (Annex 3 is not required for single feed ingredients (such as concentrated minerals, vitamins, flavours and enzymes) manufactured in facilities other than Type A, B and D). Commercial Feed Facility(s) name(s) and location(s) (town name) or facility code(s): _____ _____ _____			
5	This CQA On-Farm Feed Mill has started collecting the shipping documents or invoices (feed delivery slips) for each load of feed delivered and they confirm that the feed being delivered has been made in accordance with the CRFPCP.			
6	CQA feed mixing and sequencing records are maintained, have been kept on file since the last CQA validation and are available for inspection upon request.			
7	This CQA On-Farm Feed Mill has controls in place to ensure that feeds of unknown origin are not accepted.			
8	The personnel in charge of the On-Farm Feed Mill are aware that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the on-farm feed mill.			

9	An on-site examination and record review of this CQA On-Farm Feed Mill has been performed.			
Additional questions for CQA On-Farm Feed Mills that have used Ractopamine in the past 12 months.		❒ **N/A**		
10	Appropriate clean-up of the feed mill has been demonstrated, including records of a clean-up in accordance with Annex 1 of the CRFPCP.			
11	This CQA On-Farm Feed Mill has collected the shipping documents or invoices (receiving records, feed delivery slips) for each load of feed delivered since the clean-up (Annex 1), confirming that the feed being delivered has been made in accordance with the CRFPCP.			

Section II: Declaration by External Assessor and CQA Manager or producer for the CQA On-Farm Feed Mill.

❑ This CQA On-Farm Feed Mill has demonstrated adherence to the requirements and may be enrolled to the CRFPCP.

External Assessor Signature	**CQA Manager or producer Signature**
External Assessor Printed Name	**CQA Manager or producer Printed Name**
CQA On-Farm Feed Mill audit date	**Date**

Section III: Type A and B PID site CRFPCP Enrollment Requirements

#	PID site Enrollment Requirements	YES	NO	N/A
1	The PID site is registered in the CQA Program and has a valid status.			
2	This PID site does not have any outstanding corrective actions related to the CQA Program.			
3	All individual barns in the PID site have their barn-exclusive herd mark(s).			
4a	This PID site is able to demonstrate that pigs have not been fed with feed containing Ractopamine in the past 12 months or since a clean-up (in accordance with Annex 1) was completed and records supporting these conditions are available to auditors upon request.			
4b	This PID site is able to demonstrate that pigs have not been fed with any feed or supplements that contain bovine meat and bone meal in the last 12 months or since a clean-up was completed (in accordance with Annex 1), and records supporting these conditions are available to auditors upon request.			
5	The PID site has started collecting the shipping documents or invoices (feed delivery slips) for loads of feed delivered, confirming that the feed being delivered has been made in accordance with the Program.			
6	The PID site has the supporting records demonstrating that the incoming animals have not been fed with feed containing Ractopamine in the past 12 months or since a clean-up (as per Annex 1) was completed and these records are maintained and available to auditors upon request.			
7	The CQA Manager or Producer of the PID site has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed, confirming that the facility meets the requirements of the CRFPCP. (Annex 3 is not required for single feed ingredients (such as concentrated minerals, vitamins, flavours and enzymes) manufactured in facilities other than Type A, B and D)). Write the name or the facility code and the type of Commercial Feed Facilities supplying feed. Commercial Feed Facility(s) name(s) and location(s) (town name) or facility code(s): _____ _____ _____ *(Answer "N/A" if feed is only supplied by On-Farm Feed Mill)*			
8	This PID site has controls in place to ensure that feeds of unknown origin are not accepted.			
9	CQA validation report is maintained on file since the last validation and available for review to auditors upon request.			
10	The CQA Manager and the barn personnel are aware that the CQA Provincial Coordinator and the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the PID site.			
11	The CQA Manager is aware of the CRFPCP CQA Manager and Producer responsibilities.			

12	An on-site examination and record review of this PID site has been performed.			
Additional questions for PID sites that have used Ractopamine in the past 12 months.		❒ **N/A**		
1	Appropriate clean-up has been demonstrated, including records of clean-up in accordance with Annex 1 of the CRFPCP.			
2	Any animals that may have been exposed to Ractopamine have been removed or identified and segregated from incoming Ractopamine-free pigs.			
3	The PID site has collected the shipping documents or invoices (receiving records, feed delivery slips) for loads of feed delivered since the clean-up (Annex 1), confirming that the feed being delivered has been made in accordance with the Program.			
4	Hog barns and equipment that may have been exposed to foods containing ractopamine or animals that have been ingested ractopamine-containing foods have been cleaned.			

Section IV: AUDIT SUMMARY

The following is a summary of the audit conducted for the PID site on _____.
(*Insert date)*

Option 1 – Adherence without Deviation	YES	NO
This PID site and/or CQA On-Farm Feed Mill (if applicable) has met the requirements of the CRFPCP and the facilities can be enrolled in the CRFPCP.		
If the CQA On-Farm Feed Mill is located on another PID site, this CQA On-Farm Feed Mill has a valid status on the CRFPCP and CQA Program.		

Option 2 – Adherence with Minor Deviation	YES	NO
The following deviation(s) from the items listed above were identified at the PID site and/or CQA On-Farm Feed Mill (if applicable) at the time of the audit: _____ _____		
Corrective actions were completed **within 15 business days** after the date of the audit and completion was verified. ***Date the corrective action has been completed:*** _____		
The PID site and/or CQA On-Farm Feed Mill (if applicable) has corrected the identified deviation(s) in an effective manner and demonstrated adherence to the CRFPCP requirements and the facilities can be enrolled in the CRFPCP.		
If the CQA On-Farm Feed Mill is located on another PID site, this CQA On-Farm Feed Mill has a valid status on the CRFPCP and CQA Program.		

Option 3 – Major Deviation Leading to Non-Adherence
❑ Minor corrective actions were not completed **within 15 business days** after the date of the audit. OR ❑ There is introduction or likely introduction of Ractopamine to the PID site and/or CQA On-Farm Feed Mill.
❑ The PID site and/or CQA On-Farm Feed Mill cannot be enrolled in the CRFPCP.

Section V: Declaration by External Assessor and CQA Manager or producer

Type A PID site – PID site registered under the CQA program where pigs that have not been fed with feed containing Ractopamine in the last 12 months were raised.

❑ This PID site was approved under the previous CFIA EU Ractopamine-Free Pork Program.

OR

❑ This PID site is able to demonstrate that, pigs fed with feed containing Ractopamine has not been kept on this PID site in the last 12 months.

Type B PID site - PID site registered under the CQA program where pigs that have been fed with feed containing Ractopamine in the last 12 months were raised.

❑ This PID site is able to demonstrate that appropriate clean-up procedures in accordance with Annex 1 has been implemented prior to introducing eligible animals.

❑ This PID site has demonstrated adherence to the requirements and may be enrolled to the CRFPCP.

External Assessor Signature	**CQA Manager or producer Signature**
External Assessor Printed name	**CQA Manager or producer Printed name**
PID site visit Date	**Date**

Section VI: Declaration by the Slaughter Establishment

Instruction:

- ✓ To be completed by the Slaughter Establishment representative
- ✓ Please fill in the appropriate section for the type of production site identified
- ✓ A copy of the completed and signed Annex 5, by the External Assessor and CQA Manager or Producer and the slaughter establishment, must be sent to the CQA Provincial office.

Type A PID site:

- ❑ This PID site has successfully passed the **Annex 5** – On-site Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed Mills and meets the requirements of the CRFPCP and its coordinates may be added to the provincial CQA eligibility list.
- ❑ The Slaughter Establishment has on file the signed **Annex 2** - Agreement between the Premise Identification (PID) site and the Slaughter Establishment.

_____ _____

(Slaughter Establishment Rep. Signature) **(Slaughter Establishment Rep. Printed name)**

_____

Type B PID site:

- ❑ This PID site has successfully passed the **Annex 5** – On-site Enrollment Assessment Checklist for Premise Identification (PID) site and On-farm Feed Mills and meets the requirements of the CRFPCP and its coordinates may be added to the provincial CQA eligibility list.
- ❑ The Slaughter Establishment has on file the signed **Annex 2** - Agreement between the PID site and the Slaughter Establishment.
- ❑ This PID site has completed the conditional carcass sample test from the first lot of animals and **tested negative** for the presence of Ractopamine.

_____ _____

(Slaughter Establishment Rep. Signature) **(Slaughter Establishment Rep. Printed name)**

_____

(Date)

A copy of the completed and signed Annex 5, by the External Assessor and CQA Manager or the producer and the slaughter establishment, must be sent to the CQA Provincial office.

Annex 5.1

Annual Assessment Checklist for PID site and On-Farm Feed Mill. (Note: **This exact wording must be used**.)

Instruction:

- If there is more than one barn per PID site being enrolled, all applicable barn identifiers and their respective herd marks must be listed on Annex 5.1.
- This amended version of Annex 5.1 must be completed on a yearly basis according to the PID site CQA cycle.
- A copy of Annex 5.1 will be kept at the PID site and the CQA provincial office.
- All Type B PID sites completing the Annex 5.1 with a minimum of 12 months after enrollment will automatically become Type A PID sites if all the program requirements are met.

❑ Full Validation	❑ Partial Validation
Date:	
PID site number:	
Name of the CQA Manager :	
Name of the Producer / livestock owner:	
PID site Name / Farm Name:	
CQA number :	
Mailing address:	
Phone Number:	**Fax and/or email::**

BARN IDENTIFIER NUMBER	HERD MARK(S)

A. If there is a CQA On-Farm Feed Mill on this PID site complete all remaining sections.
B. If this PID site receives feed from a CQA On-Farm Feed Mill located on another PID site, indicate the PID site number or address/legal land description of the CQA On-Farm Feed Mill and skip section I and II. _____
C. If there is no CQA On-Farm Feed Mill on this PID site or if this PID site do not receive feed from a CQA On-Farm Feed Mill, check “N/A” below and skip section I and II.

N/A ❒

Section I: CQA On-Farm Feed Mill Annual Assessment Requirements

#	CQA On-Farm Feed Mill Annual Assessment Requirements	YES	NO	N/A
1	The CQA On-Farm Feed Mill is registered in the CQA Program and has a valid status.			
2	This CQA On-Farm Feed Mill does not have any outstanding corrective actions related to the CQA Program.			
3a	This CQA On-Farm Feed Mill has not manufactured feed containing Ractopamine since enrolling in the Program and the information entered on the records since the last CQA validation support these conditions; these records are available to the auditors upon request.			
3b	This CQA On-Farm Feed Mill has not manufactured, had on site, or used any feed or supplements for any species (e.g. poultry feed) that contain bovine meat and bone meal since the last CQA validation or since the Declaration (Annex 14) by Producer or CQA Manager on the use of bovine meat and bone meal was signed.			
4	This CQA On-Farm Feed Mill has the shipping documents or invoices (feed delivery slips) for each load of feed delivered since the last CQA validation or since enrolling in the Program, confirming that the feed being delivered has been made in accordance with the Program.			
5	The CQA On-Farm Feed Mill has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed, confirming that the facility meets the requirements of the CRFPCP. (Annex 3 is not required for single ingredient feeds (such as concentrated minerals, vitamins, flavours and enzymes) manufactured in facilities other than feed facilities (Type A, B and D)). Commercial Feed Facility(s) name(s) and location(s) (town name) or facility code(s): _____ _____ _____			
6	CQA feed mixing and sequencing records are maintained, kept on file since the last CQA validation and available for inspection upon request.			
7	This CQA On-Farm Feed Mill has controls in place to ensure that feeds of unknown origin are not accepted.			
8	The personnel in charge of the CQA On-Farm Feed Mill are aware that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the on-farm feed mill.			
9a	**For a full validation,** an on-site examination and record review of this CQA On-Farm Feed Mill has been performed.			

9b	**For a partial validation,** a record review of this CQA On-Farm Feed Mill has been performed.			

Section II: Declaration by Validator and CQA Manager for the CQA On-Farm Feed Mill.

❑ This CQA On-Farm Feed Mill has demonstrated adherence to the requirements of the CRFPCP and maintains its enrollment.

Validator Signature	**CQA Manager Signature**
Validator Printed Name	**CQA Manager Printed Name**
CQA On-Farm Feed Mill audit date	**Date**

Section III: Type A and B PID site Annual Assessment Requirements

#	Type A and B PID site Annual Assessment Requirements	YES	NO	N/A
1	The PID site is registered on the CQA Program and has a valid status.			
2	This PID site does not have any outstanding corrective actions related to the CQA Program.			
3	The PID site has barn-exclusive herd mark(s).			
4	A copy of Annex 5 is kept on file stating that the PID site met the requirements of the CRFPCP.			
5	This PID site has a signed Annex 2 Agreement between the PID site and the slaughter establishment stating that the PID site met the requirements of the CRFPCP upon enrollment.			
6a	This PID site is able to demonstrate that pigs have not been fed with feed containing Ractopamine since enrolling in the program and the information entered on the records since the last CQA validation support these conditions; these records are available to the auditors upon request.			
6b	This PID site is able to demonstrate that pigs have not been fed with any feed or supplements that contain bovine meat and bone meal since the last CQA validation or since the Declaration (Annex 14) by Producer or CQA Manager on the use of bovine meat and bone meal was signed.			

7	The PID site has the shipping documents or invoices (feed delivery slips) for each load of feed delivered since the last CQA validation or since enrollment on the program, confirming that the feed being delivered has been made in accordance with the Program.			
8	The PID site has supporting records, since the last CQA Validation or since enrolling in the program, demonstrating that the incoming animals have not been fed with feed containing Ractopamine and the records are maintained and available to auditors upon request.			
9	The PID site has the Swine Movement Documents (Annex 4) for all shipments of pigs from this production site, and copies are kept on-site since the last CQA Validation or since enrolling in the program and records are available to auditors upon request.			
10	The CQA Manager of the PID site has obtained Annex 3 (letter(s) of guarantee), issued by enrolled Commercial Feed Facilities (Type A, B and D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP. (Annex 3 is not required for single ingredient feeds (such as concentrated minerals, vitamins, flavours and enzymes) manufactured in facilities other than feed facilities (Type A, B and D)). Write the name or the facility code and the type of Commercial Feed Commercial Feed Facility(s) name(s) and location(s) (town name): _____ _____ *(Answer "N/A" if feed is only supplied by On-Farm Feed Mill)*			
11	The PID site has controls in place to ensure that feeds of unknown origin are not accepted.			
12	CQA validation report is maintained on file since the last validation and is available to auditors upon request.			
13	The CQA Manager and the barn personnel are aware that the CQA Provincial Coordinator must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the PID site.			
14	The CQA Manager is aware of the CRFPCP responsibilities for CQA Managers or Producers.			
15a	**For a full validation,** an on-site examination and record review of this PID site has been performed.			
15b	**For a partial validation,** a record review of this PID site has been performed.			

Section IV: AUDIT SUMMARY

The following is a summary of the audit conducted for the PID site on _____
(*Insert date)*

Option 1 – Adherence without Deviation	YES	NO
This PID site and/or CQA On-Farm Feed Mill (if applicable) has met the requirements of the CRFPCP and the facilities can remain enrolled in the CRFPCP.		

If the CQA On-Farm Feed Mill is located on another PID site, this CQA On-Farm Feed Mill has a valid status on the CRFPCP and CQA Program.		

Option 2 – Adherence with Minor Deviation	YES	NO
The following deviation(s) from the items listed above were identified at the PID site and/or CQA On-Farm Feed Mill (if applicable) at the time of the audit: _____ _____		
Corrective actions were completed **within 15 business days** after the date of the audit and completion was verified. ***Date the corrective action has been completed:*** _____		
The PID site and/or CQA On-Farm Feed Mill (if applicable) has corrected the identified deviation(s) in an effective manner and demonstrated adherence to the CRFPCP requirements and the facilities can remain enrolled in the CRFPCP.		
If the CQA On-Farm Feed Mill is located on another PID site, this CQA On-Farm Feed Mill has a valid status on the CRFPCP and CQA Program.		

Option 3 – Major Deviation Leading to Non-Adherence
❑ Minor corrective actions were not completed **within 15 business days** after the date of the audit. OR ❑ There is introduction or likely introduction of Ractopamine to the PID site and/or CQA On-Farm Feed Mill.
❑ The PID site and/or CQA On-Farm Feed Mill must be removed from the CRFPCP.

Section V: Declaration by Validator and CQA Manager or producer

_____ **Validator Signature**	_____ **CQA Manager or producer Signature**
_____ **Validator Printed Name**	_____ **CQA Manager or producer Printed Name**
_____ **PID site audit date**	_____ **Date**

The Annex 5.1 must be sent to the CQA Provincial Coordinator along with the CQA Validation Report. A copy of this Annex 5.1 and CQA Validation report will be kept at the PID site, and by the CQA Program Validator.

Annex 5.2

On-Site Enrollment Assessment Checklist for assembly yards (Note:**This exact wording must be used if industry drafts its own Annex 5.2 form**.)

Instruction:

- ✓ Annex 5.2 must be completed by an external assessor contracted by the slaughter establishment.
- ✓ A copy must be kept at the assembly yard and at the slaughter establishment.

Date:	
PID Number issued by provincial authority:	
Name of the Assembly Yard:	
Name of the owner or person in charge:	
Address:	
Phone Number:	Fax:

Ear Tag number(s) (if applicable) and Animal identifiers (herd mark tattoo or ear tag # etc)				**Ear Tag number(s) (if applicable) and Animal identifiers (herd mark tattoo or ear tag # etc)**			

Section I: Assembly yard Enrollement Assessment Checklist

#	Assembly yard Enrollment Checklist	YES	NO	N/A
1	Does the assembly yard receive animals (all species) that are not registered in a ractopamine program.			
2	If the answer to item 1 above is “Yes”, does the assembly yard have segregation procedures implemented to ensure animals do not commingle (documentation provided).			
3	The owner or person in charge of the assembly yard has on file completed and signed Annexes 4, Swine Movement Document or in case of other species, documentation demonstrating registration on a Ractopamine free program, for each shipment of animals delivered in the last 12 months. A copy of documents is kept on site and records are available to auditors upon request.			
4	If the owner or person in charge of the assembly yard does not have all Annexes 4, Swine Movement Document or in case of other species, documentation demonstrating registration in a Ractopamine free program for incoming animals delivered in the last 12 months, the assembly yard has completed an appropriate clean-up as applicable in accordance with Annex 1 of the CRFPCP.			
5	If the owner or person in charge of the assembly yard has no shipping documents or invoices (feed delivery slips) for feed delivered in the last 12 months, the assembly yard has completed an appropriate clean-up as applicable in accordance with Annex 1 of the CRFPCP.			
6	The owner or person in charge of the assembly yard started collecting shipping documents or invoices for each load of feed (feed delivery slips) since the clean-up was completed, to demonstrate that the feed being delivered has been made in accordance with the CRFPCP.			
7	The owner or person in charge of the assembly yard is able to demonstrate that feed containing bovine meat and bone meal or any protein supplement that may contain bovine meat and bone meal is not kept on site since the Declaration (Annex 15) by the person in charge of the assembly yard on the use of bovine meat and bone meal has been signed.			
8	The owner or person in charge of the assembly yard has available all Annex 4-Swine Movement Document for all shipment of pigs from this assembly yard, and a copy is kept on site for at least 12 months and records are available to auditors upon request.			
9	The owner or person in charge for the assembly yard has obtained an Annex 3 (letter of guarantee), issued by an enrolled Commercial Feed Facility (Type A-B-D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP (Annex 3 is not required for single ingredient feeds and mixed feeds (such as concentrated minerals, vitamins, flavors and enzymes) manufactured in facilities other			

	than feed facilities (Type A-B-D)). Commercial Feed Facility name(s) or facility codes:_____ _____ *(Answer N/A if the assembly yard do not receive feed on-site)*			
10	The owner or person in charge and employees are aware that the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the assembly yard.			
11	The owner or person in charge of the assembly yard is aware of its responsibilities listed in section 2.1.6 of the CRFPCP.			
12	An on-site examination and record review of this assembly yard has been performed.			

Section II: Declaration by the External Assessor and Owner or person in charge of the assembly yard.

Type A Assembly yard is keeping pigs and/or other species that are not fed with feed containing Ractopamine.

- ❑ This assembly yard is able to demonstrate that, pigs and/or other species fed with feed containing Ractopamine or feed containing Ractopamine has not been kept on this facility in the last 12 months.

Type B Assembly yard is unable to demonstrate that pigs and/or other species fed with feed containing Ractopamine or feed containing Ractopamine has not been kept on this facility in the last 12 months and has implemented clean-up procedure as applicable and segregation protocols.

- ❑ All questions of Annex 5.2 Section must have been answered in compliance with the program.

- ❑ This assembly yard has demonstrated adherence to the requirements and may be enrolled to the CRFPCP.

_____ **(External Assessor Signature)**	_____ **(Owner or person in charge signature)**
_____ **(External Assessor Printed name)**	_____ **(Owner or person in charge printed name)**
_____ **(Date)**	☐_____ **(Date)**

Section III: Declaration by the External Assessor and Owner or person in charge of the assembly yard.

The following is a summary of the audit conducted on _____
(*Insert date)*

Option 1 – Adherence without Deviation

- ❑ This Assembly yard has met the requirements of the CRFPCP and the facilities may continue to be identified on the list of enrolled facilities.

Option 2 – Adherence with Minor Deviation

- ❑ The following deviation(s) from the items listed in section I were identified at the assembly yard at the time of the audit:
  _____
  _____
- ❑ Corrective actions were completed **within 15 business days** after the date of the audit.
  Date when the corrective action was completed: _____
- ❑ The assembly yard has corrected the identified deviation(s) in an effective manner and demonstrated adherence to the requirements of the CRFPCP requirements and the facilities may continue to be identified on the list of enrolled facilities.

Option 3 – Major Deviation Leading to Non-Adherence

- ❑ Minor corrective actions were not completed **within 15 business days** after the date of the audit.
  OR
- ❑ There is introduction or likely introduction of Ractopamine to the assembly yard.
- ❑ The assembly yard must be removed from the list of enrolled facilities on the CRFPCP.

_____	_____
(External Assessor Signature)	(Owner or Person in Charge Signature)
_____	_____
(External Assessor Name)	(Owner or Person in Charge Name)
_____	_____
(Date)	(Date)

Section IV: Declaration by the Slaughter Establishment.

Instruction:

- ✓ To be completed by the Slaughter Establishment representative.

Complete the appropriate section for the Type of assembly yard identified Section II.

Type A Assembly yard:

- ❑ This assembly yard has successfully passed the enrollment assessment **(Annex 5.2)** and meets the requirements of the CRFPCP.
- ❑ This assembly yard has completed the conditional carcass sample test from the first lot of animals and **tested negative** for the presence of Ractopamine.

_____ _____

(Slaughter Establishment Rep. Signature) **(Slaughter Establishment Rep. Printed name)**

_____

(Date)

Type B Assembly yard:

- ❑ This assembly yard has successfully passed the enrollment assessment **(Annex 5.2)** and meets the requirements of the CRFPCP.
- ❑ This assembly yard has completed the conditional carcass sample test from the first lot of animals and **tested negative** for the presence of Ractopamine.

_____ _____

(Slaughter Establishment Rep. Signature) **(Slaughter Establishment Rep. Printed name)**

_____

(Date)

Annex 5.3
Annual Assessment Checklist for assembly yards

(Note:**This exact wording must be used if industry drafts its own Annex 5.3 form**.)

Instruction:

- ✓ Annex 5.3 must be completed annually by an external assessor contracted by the slaughter establishment.
- ✓ A copy must be kept at the assembly yard and at the slaughter establishment.

Date:	
PID Number issued by provincial authority:	
Name of the Assembly Yard:	
Name of the owner or person in charge:	
Address:	
Phone Number:	Fax:

Ear Tag number(s) (if applicable) and Animal identifiers (herd mark tatto or ear tag # etc)				**Ear Tag number(s) (if applicable) and Animal identifiers (herd mark tatto or ear tag # etc)**			

Section I: Assembly yard Annual Assessment Checklist

#	Assembly yard Annual Assessment Checklist	YES	NO	N/A
1	The owner or person in charge of the assembly yard has on file a copy of Annex 5.2.			
2	The owner or person in charge of the assembly yard has on file completed and signed Annex 4 (Swine Movement Document) for each shipment of pigs **from PID sites** delivered in the last 12 months, to confirm all animals being transferred to the assembly yard are registered under the CRFPCP.			
3	The owner or person in charge of the assembly yard has available all Annex 4-Swine Movement Document for all shipment of pigs **from this assembly yard to the slaughter establishment**, and a copy is kept on site for at least 12 months and record are available to auditors upon request.			
4	If applicable, the owner or person in charge of the assembly yard has all shipping documents or invoices (feed delivery slips) for feed delivered in the last 12 months or since the enrollment, to demonstrate that the feed being delivered has been made in accordance with the CRFPCP			
5a	The owner or person in charge for the assembly yard has obtained an Annex 3 (letter of guarantee), issued by an enrolled Commercial Feed Facility (Type A-B-D) that supply them with feed confirming that the facility meets the requirements of the CRFPCP (Annex 3 is not required for single ingredient feeds and mixed feeds (such as concentrated minerals, vitamins, flavors and enzymes) manufactured in facilities other than feed facilities (Type A-B-D)). Commercial Feed Facility name(s) or facility codes:_____ _____ *(Answer N/A if the assembly yard do not receive feed on-site)*			
5b	The owner or person in charge of the assembly yard is able to demonstrate that feed containing bovine meat and bone meal or any protein supplement that may contain bovine meat and bone meal is not kept on site for the last 12 months or since the Declaration (Annex 15) by the person in charge of the assembly yard on the use of bovine meat and bone meal has been signed.			
5c	The owner or person in charge of the assembly yard has implemented protocols to ensure other species are segregated from CRFPCP pigs.			
6	The owner or person in charge and employees are aware that the slaughter establishment must be contacted within 24 hours in the event that Ractopamine is introduced or was likely to have been introduced to the assembly yard.			

7	The owner or person in charge of the assembly yard is aware of its responsibilities listed in section 2.1.6 of the CRFPCP.			
8	An on-site examination and record review of this assembly yard has been performed.			

This assembly yard has successfully passed the Annex 5.3 Annual Assessment and meets the requirements of the CRFPCP.
A copy of this enrollment checklist will be kept at the assembly yard and the slaughter establishment (s).

_____	_____
(Assessor Signature)	**(Owner or Person in Charge Signature)**
_____	_____
(Assessor Printed name)	**(Owner or Person in Charge Printed name)**
_____	_____
(Date)	**(Date)**

Section II: Declaration by the External Assessor and Owner or person in charge of the assembly yard.

The following is a summary of the audit conducted on _____.
(*Insert date)*

Option 1 – Adherence without Deviation

- ❑ This Assembly yard has met the requirements of the CRFPCP and the facilities may continue to be identified on the list of enrolled facilities.

Option 2 – Adherence with Minor Deviation

- ❑ The following deviation(s) from the items listed in section I were identified at the assembly yard at the time of the audit:
  _____
  _____
- ❑ Corrective actions were completed **within 15 business days** after the date of the audit.
  Date when the corrective action was completed: _____
- ❑ The assembly yard has corrected the identified deviation(s) in an effective manner and demonstrated adherence to the requirements of the CRFPCP requirements and the facilitie may continue to be identified on the list of enrolled facilities.

Option 3 – Major Deviation Leading to Non-Adherence

- ❑ Minor corrective actions were not completed **within 15 business days** after the date of the audit.
  OR
- ❑ There is introduction or likely introduction of Ractopamine to the assembly yard.

- ❑ The assembly yard must be removed from the list of enrolled facilities on the CRFPCP.

_____	_____
(External Assessor Signature)	(Owner or Person in Charge Signature)
_____	_____
(External Assessor Name)	(Owner or Person in Charge Name)
_____	_____
(Date)	(Date)

Annex 5.4

Trace-out Checklist (For PID sites and On-Farm Feed Mill and assembly yards)
(Note: **This exact wording must be used**)

Instructions:

- ✓ This document shall be used on a facility enrolled on the CRFPCP, where feed containing Ractopamine was suspected to have been fed to pigs.
- ✓ Barns sharing the same feed bin or blower system and/or linked internally by a common area will be considered as one barn for the Trace-out.

Date:	
PID number of the site or assembly yard:	
Name of the CQA Manager:	
Name of the Producer / livestock owner:	
PID site Name / Farm Name/ assembly yard name:	
CQA number (optional):	
Mailing address:	Email:
Phone Number:	Fax:

BARN IDENTIFIER NUMBER	HERD MARK(S)

Trace-out Pre-Requirements Checklist	YES	NA
The CQA Manager/Producer/ person in charge of the assembly yard notified the slaughter establishment about the deviation within 24 hours.		
The Barn(s) is/are clearly identified.		
Feed bins/silos are clearly identified		
The following records have to be available for the completion of the Trace-out:		
a. Feed delivery slips and lot/batch number		
b. Annex 5 or the latest Annex 5.1		
c. Annex 5.2 or the latest Annex 5.3 for the Assembly yard		
d. Documentation of feed bins/silos being emptied (records)		

	Trace-out Checklist for the PID site and barns	YES	NO	N/A
Assessment of PID site				
1	Are all barns on this PID site listed on the Annex 5 or the latest Annex 5.1?			
2	Are all barns listed on the Annex 5 or latest Annex 5.1 clearly identified (e.g.: Number, Letter, etc.)?			
3	Does each barn have assigned bins/silos?			
4	Is each assigned feed bin/silo clearly identified (e.g.: Number, Letter, etc.)?			
5	Is the feed delivered in bags?			
	a. If yes, are lot/batch numbers available?			
6	Is the feed delivered through bulk purchasing?			
7	Is there documentation to when the feed bin/silos were last emptied?			
8	Are all feed delivery slips available for the past 12 months or since enrollment on the CRFPCP?			
9	Do all feed delivery slips include:			
	a. PID site number			

	b. Destination (Feed bin/silo number)			
	c. Delivery date			
	d. Ration code			
	e. The name of the company			
	f. The name of the transporter			
10	Was the entire load or batch of contaminated feed delivered to one specific destination (barn(s) and/or feed bin/silo(s))?			
	a. If Yes, have corrective measures been taken?			
	b. If No, are the other(s) destination(s) known?			
	c. Have other destinations been contaminated?			
	d. If Yes, have corrective measures been taken?			
On-farm feed mill				
11	< Is the feed blown into the barn via an on-site milling distribution system?			
	a. Print destination number(s) (Feed bin(s)/silo(s)):			
	b. Print the date that feed was transferred			
	c. Print Ration Code or Name			
	d. Are flushing and sequencing records been kept after feed was contaminated to determine if other barn(s)/bin(s)/silo(s) have been affected?			
	List any other feed ingredients received on-farm.			
Pigs				
12	Were any animals moved from one building to another?			

	a. If Yes, were the animals contaminated?			
	b. If Yes, was the movement documented and location identified?			
13	Were any animals moved to a slaughter facility?			
	a. If Yes, list all slaughter establishments _____ _____			
14 Assessment of the Assembly yard				
1	Does the assembly yard have assigned bins/silos?			
2	Is each assigned feed bin/silo clearly identified (e.g.: Number, Letter, etc.)?			
3	Is the feed delivered in bags?			
	a. If yes, are lot/batch numbers available?			
4	Is the feed delivered through bulk purchasing?			
5	Is there documentation to when the feed bin/silos were last emptied?			
6	Are all feed delivery slips available for the past 12 months or since enrollment on the CRFPCP?			
7	Do all feed delivery slips include:			
8	a. PID number			
9	b. Destination (Feed bin/silo number)			
	c. Delivery date			

Annex 6
Audit Checklist For Commercial Feed Facility
(Note: Example format for establishment use. **This exact wording must be used**.)

Date: _____

Feed Facility Name: _____

Person in Charge at This Facility: _____

Address: _____

Telephone: _____

Audit Type:

- ☐ **Internal Audit**
- ☐ **Third Party Audit**
- ☐ **Re-enrollment Audit**

Type A Commercial Feed Facilities

General

- ☐ Ractopamine has never been used by the facility **or** the facility has followed the proper cleanout procedures to become Type A (see Annex 1 of the CRFPCP).
- ☐ Ractopamine is not used by the facility in any of its activities (including trucking, manufacturing and retail).
- ☐ The facility has controls in place to ensure that feeds of unknown origin are not accepted.
- ☐ The facility provides a letter of guarantee to all producers and commercial feed facilities participating in this program to confirm that the feed they are delivering has been made in accordance with the CRFPCP.
- ☐ The facility includes a statement on the shipping documents or invoice that accompanies the delivery that each load of feed shipped to facilities enrolled on the program has been made in accordance with the CRFPCP.
- ☐ Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in CRFPCP.
- ☐ The facility has effective recall procedures in place.

Incoming Feeds and Feed Ingredients

- ☐ Letters of Guarantee
    - ☐ The facility has a letter of guarantee provided by each commercial feed facility from which they purchase feeds/feed ingredients confirming that the facility meets the requirements of the CRFPCP.
- ☐ Declaration on shipping documents or invoices
    - ☐ An appropriate declaration is present on shipping documents or invoices (receiving records) that accompany deliveries of feed/feed ingredients from commercial feed facilities confirming that each load of feed meets the requirements of the CRFPCP.

Production Controls (Sequencing and Flushing)

- ☐ Procedures are in place to ensure that feed being manufactured under the CRFPCP never contains bovine meat and bone meal and is never manufactured after feed containing bovine meat and bone meal.

Record Keeping

- ☐ The facility maintains the following records for a minimum period of two years:
    - ☐ Documentation of clean-out of the facility in accordance with Annex 1 (if applicable)
    - ☐ Receiving records
    - ☐ Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
    - ☐ Mixing formula and mixing sheets
    - ☐ Feed labels
    - ☐ Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
    - ☐ Distribution records (including who the feed was shipped to and the amount delivered)

Type B Commercial Feed Facilities

General

- ☐ The facility holds a valid HACCP certification by a third party.
- ☐ The facility has controls in place to ensure that feeds of unknown origin are not accepted.
- ☐ The facility provides a letter of guarantee to all producers and commercial feed facilities participating in this program to confirm that the feed they are delivering has been made in accordance with the CRFPCP.
- ☐ The facility includes a statement on the shipping documents or invoice that accompanies the delivery that each load of feed shipped to facilities enrolled on the program has been made in accordance with the CRFPCP.
- ☐ Labels for feeds sold by the facility do not include unapproved claims related to absence of ractopamine and/or participation in CRFPCP.
- ☐ The facility has effective recall procedures in place.

Incoming Feeds and Feed Ingredients

- ☐ Letters of Guarantee
    - ☐ The facility has a letter of guarantee provided by each commercial feed facility from which they purchase feeds/feed ingredients confirming that the facility meets the requirements of the CRFPCP.
- ☐ Declaration on shipping documents or invoices
    - ☐ An appropriate declaration is present on shipping documents or invoices (receiving records) that accompany deliveries of feed/feed ingredients from commercial feed facilities confirming that each load of feed meets the requirements of the CRFPCP.

Production Controls (Sequencing and Flushing)

- ☐ Procedures are in place to ensure that feed being manufactured under the CRFPCP never contains bovine meat and bone meal and is never manufactured after feed containing bovine meat and bone meal.
- ☐ Procedures are in place to ensure that feed being manufactured under the CRFPCP is never manufactured after feed containing ractopamine without employing validated cleaning procedures to prevent carryover.
- ☐ Procedures are in place to ensure that when feed being manufactured under the CRFPCP cannot be sequenced, a flush or other validated cleaning procedure is conducted in accordance with written procedures and noted on production documents.
- ☐ The facility must have a flush validation for all their production lines where feed under this program will be manufactured.

A flush validation must be conducted by all type B commercial feed mill facilities prior to enrolling on the program. Ractopamine hydrochloride, at the highest concentration used in the facility, must be the tracer used to conduct this flush validation.

- ☐ Ractopamine inventory reconciliation including ractopamine and incoming mixed feeds containing ractopamine is conducted daily. If a discrepancy is found, an investigation into the cause is conducted immediately and the appropriate measures to ensure feed produced under the CRFPCP is not compromised must be taken.

Record Keeping

- ☐ The facility maintains the following records for a minimum period of two years:
    - ☐ Receiving records
    - ☐ Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
    - ☐ Inventory records
    - ☐ Mixing formula and mixing sheets
    - ☐ Feed manufacturing records including sequencing and flushing
    - ☐ Flush validation records
    - ☐ Feed labels
    - ☐ Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
    - ☐ Distribution records (including who the feed was shipped to and the amount delivered)

Type D Commercial Feed Facilities

General

- ☐ The facility only handles bagged feed in its original packaging.
- ☐ The facility provides a letter of guarantee to all producers and commercial feed facilities participating in this program to confirm that the feed they are delivering has been made in accordance with the CRFPCP.
- ☐ The facility includes a statement on the shipping documents or invoice that accompanies the delivery that each load of feed shipped to facilities enrolled on the Program has been made in accordance with the CRFPCP.
- ☐ The facility has spillage procedures to prevent cross contamination.
- ☐ The facility has effective recall procedures in place.

Incoming Feeds and Feed Ingredients

- ☐ Letters of Guarantee
    - ☐ The facility has a letter of guarantee provided by each commercial feed facility from which they purchase feeds/feed ingredients confirming that the facility meets the requirements of the CRFPCP.
- ☐ Declaration on shipping documents or invoices
    - ☐ An appropriate declaration is present on shipping documents or invoices (receiving records) that accompany deliveries of feed/feed ingredients from commercial feed facilities confirming that each load of feed meets the requirements of the CRFPCP.

Record Keeping

- ☐ The facility maintains the following records for a minimum period of two years:
    - ☐ Receiving records
    - ☐ Letters of guarantee from suppliers (two years from the last date of manufacture of a feed using associated feeds / feed ingredients)
    - ☐ Copies of letters of guarantee provided to customers enrolled on the Program (commercial feed facilities and farms)
    - ☐ Distribution records (including who the feed was shipped to and the amount delivered)

AUDIT RESULT SUMMARY

Facility Name: _____

CFIA Facility Code: _____

Audit Type

- ❑ External Audit
- ❑ Internal Audit
- ❑ Re-enrollment Audit

The following is a summary of the audit conducted on _____.
(I*nsert date)*

Complete the appropriate option (1, 2 or 3) below.

Option 1 – Adherence without Corrective Actions

- ❑ This facility has demonstrated adherence to the requirements of the CRFPCP and the facility may continue to be identified on the list of enrolled commercial feed facilities maintained by the CFIA as a Type_____Commercial Feed Facility *(Insert A, B or D)*.

_____
(Auditor Signature)

_____
(Auditor Printed Name)

_____
(Date)

Option 2 – Adherence with Corrective Actions

- ❑ The following minor deviation(s) from the items listed above were identified at this facility at the time of the audit:

  _____

  _____

  _____

- ❑ Corrective actions for minor deviations were completed **within 15 calendar days** after the date of the audit and completion was verified.

- ❑ The following serious deviation(s) from the items listed above were identified at this facility at the time of the audit:

  _____

  _____

- ❑ Corrective actions for serious deviations were completed **within 48 hours** after the date of the audit and completion was verified

- ❑ This facility has corrected the identified deviation(s) in an effective manner and demonstrated adherence to the requirements of the CRFPCP requirements and the facility may continue to be identified on the list of enrolled commercial feed facilities maintained by the CFIA as a Type _____ Commercial Feed Facility *(Insert A, B or D)*.

_____
(Auditor Signature)

_____
(Auditor Printed Name)

_____
(Date)

Option 3 – Non-Adherence

- ❑ The following minor deviation(s) from the items listed above were identified at this facility at the time of the audit:

  _____

  _____

  _____

- ❑ Corrective actions were not completed **within 15 calendar days** after the date of the audit.

- ❑ The following serious deviation(s) from the items listed above were identified at this facility at the time of the audit:

  _____

  _____

  _____

- ❑ Corrective actions were not completed **within 48 hours** after the date of the audit.

- ❑ This facility has not demonstrated adherence to the requirements of the CRFPCP requirements (e.g., deviations from program requirements were identified that result in the introduction or likely introduction of ractopamine in a feed manufactured for a customer enrolled on the program) and the facility's name should be removed from the list of enrolled commercial feed facilities maintained by the CFIA.

_____
(Auditor Signature)

_____
(Auditor Printed Name)

_____
(Date)

Annex 7

Request for Enrollment in the Canadian Ractopamine-Free Pork Certification Program for Commercial Feed Facility

(Note: Example format for establishment use. **This exact wording must be used**.)

Date: _____

Facility Name: _____

CFIA Facility Code (from CFIA Verification Report): _____

Facility Contact: _____

Facility Identification

Physical Address: _____

Mailing Address: _____

Telephone: _____

Fax: _____

Email: _____

This facility has successfully implemented the necessary controls and meets the requirements of the CRFPCP as of _____.
(Insert date)

The feed facility meets the requirements to be considered as a (select the applicable type in the table below):

Checklist to determine Ractopamine-Free Feed Facility Type

Feed Facility Type	Feed Mill	Feed Retail Outlet
Type A (dedicated) Facility		
Type A (dedicated) Facility after clean-out in accordance with Annex 1 on _____ (insert date)		
Type B (mixed) Facility		
Type D (only bagged feed in original packaging)		

_____
(Printed name of Facility Representative)

_____ _____
(Signature of Facility Representative) (Date)

To be submitted to the Animal Feed and Veterinary Biologics Division of the CFIA – Attention Nick Tremblay

- ❑ By email to cfia.afp-paa.acia@inspection.gc.ca
- ❑ By fax (613) 773-7565
- ❑ By post to: Animal Feed and Veterinary Biologics Division, 59 Camelot Drive, Ottawa, Ontario K1A 0Y9

Annex 8

Ractopamine National Database- Ractopamine Carcass Testing Under the Canadian Ractopamine-Free Pork Certification Program

(Note: Example format for establishment use. **This exact wording must be used**.)

(Please submit all results to the Ractopamine National Database[1] in Excel format – PDF formats will not be processed.)

Laboratory Name, City, Province	Registered Establishment Number 000	Laboratory Sample ID	Date Sampled YYYY/MM/DD	Date reported to CFIA YYYY/MM/DD	Screening Method	Limit of Detection (ug/kg)	Annual Random Statistical Sampling [2]	Level Detected (ug/kg)

[1] Submit results to the CFIA via email: ractopaminedatabase@inspection.gc.

[2] If Annual random sampling please indicate 1.
If Annual random sampling (enrollment of a finishing barn: testing from first lot of animals) please indicate 2.

Annex 9

Requirements for Laboratories Performing RactopamineTesting under the Canadian Ractopamine-Free Pork Certification Program

CFIA Science Branch has determined equivalency for third party Canadian laboratories to test for the presence of total Ractopamine in pork and beef products destined for export. For the method to be considered equivalent to the accredited CFIA method, the laboratory must:

1.1 Be accredited by the Standards Council of Canada or the Canadian Association for Laboratory Accreditation for the method / technique under consideration and demonstrate that it meets ISO/IEC 17025 requirements for routine testing of beta–agonists in tissue and animal-derived meat products. The method must appear within the current scope of accreditation.

1.2 Have a controlled-document method based on AOAC Official method - 2011.23 Determination and Confirmation of Parent and Total Ractopamine in Bovine, Swine and Turkey Tissues by January 1, 2019. The accredited CFIA method - CVDR-M-3021.09, will not be accepted after this date. Additionally, data must be available to indicate that the laboratory method was validated for the raw meat species and matrices for which the tested meat products destined for export are derived.

1.3 Have a controlled-document method for testing of beta-agonists which includes the capacity to test for the presence of total Ractopamine (matrix-bound + free) at a reporting level (LOQ) of 0.1 ng/g, or lower**.** This method is also to be followed to confirm positive results.

1.4 A Report of Analysis (ROA) is required for each test sample submitted. The presence of Ractopamine, if confirmed above the reporting level of 0.1 ng/g, must be indicated as X.X ng/g. Levels below 0.1 ng/g will be declared as “not detected” on the ROA.

This testing program does not replace Canadian **domestic** regulatory requirements for the control of Ractopamine in raw pork and beef products. Canadian producers will continue to comply with Canadian requirements.

Only CFIA recognized test methodology can be used for the analysis of Ractopamine. Currently, the following four Canadian laboratories have methods that have been evaluated and are recognized to be equivalent to the CFIA regulatory method for the analysis of total Ractopamine in tissues and animal-derived meat products.

Laboratory Services Division – University of Guelph

95 Stone Road West
P.O. Box 3650
Guelph, ON N1H 8J7
(519) 767-6299

Bureau Veritas Canada(2019) Inc. – Burnaby

4606 Canada Way
Burnaby, BC V5G 1K5
(604) 734-7276

Silliker JR Laboratory –Burnaby

#12-3871 North Fraser Way

Burnaby, BC V5J 5G6

(604) 432 9311

Eurofins EnvironeX

2350, Chemin du Lac

Longueuil, QC J4N 1G8

(514) 332-6001

Additional methods / techniques may be submitted by Canadian laboratories of choice to the contacts below for evaluation of equivalence. If you have any further comments or questions, you may direct them to:

Dugane Quon Dugane.Quon@canada.ca	Aaron Price Aaron.price@canada.ca

Annex 10

Ractopamine National Database – Annual Ractopamine Random Sampling Forecasting Under the Canadian Ractopamine-Free Pork Certification Program

(Note: Example format for establishment use. **This exact wording must be used.)**

Establishment Number **000**	**Fiscal year** **0000-0000**	**Number of Pigs Slaughtered in the Last Year Under the Canadian Ractopamine-Free Pork Certification Program[1]**	**Number of Pigs Forecasted to be Slaughtered for the Upcoming Year Under the Canadian Ractopamine-Free Pork Certification Program[1]**	**Number of Samples Required to be Taken in the Next Fiscal Year**

[1] Please choose only one column for the calculation of samples

Annex 11

Deviations impacting program status noted during audits (internal and 3rd party), CFIA inspection and/or daily activities.

Annex 12

Commercial Feed Mills (Type A and B) - Re-enrollment process to CRFPCP

Annex 13

Flow chart – Action plan and communication in case of pork meat containing Ractopamine is detected

The following steps must be completed.

Annex 14

Declaration by Producer or CQA Manager on the use of bovine meat and bone meal

Pork producers registered in the Canadian Ractopamine-Free Pork Certification Program must complete this declaration. If you answer “NO” to one or both statements of Section 1, you must complete Section 2. This declaration must be signed and sent to the CQA Provincial Coordinator by March 31, 2018.

SECTION 1. Free from bovine meat and bone meal

Statements	YES	NO
The **PID site** _____ (PID site number) is able to demonstrate that pigs have not been fed with feed containing bovine meat and bone meal or fed with any protein supplement that may contain bovine meat and bone meal in the last 6 months.		
This **CQA On-Farm Feed Mill** _____(PID site number) is able to demonstrate that feed containing bovine meat and bone meal or any protein supplement that may contain bovine meat and bone meal was not manufactured, kept or used on site for swine or any other species (e.g. poultry feed) in the last 6 months.		

SECTION 2. Usage of bovine meat and bone meal

Statement	YES	NO	N/A
The PID site _____ (PID site number) and/or CQA On-Farm Feed Mill _____ (PID site number) that were using bovine meat and bone meal or any protein supplements containing bovine meat and bone meal in the last 6 months have stopped using the products on _____ (date) and completed a clean-up in			

accordance with Annex 1 on _____ (date) and records supporting these conditions are available to auditors upon request.			

SECTION 3. Declaration by the producer or CQA Manager

I _____ (Name of producer or CQA Manager) attest that I am not using bovine meat and bone meal or any protein supplement containing bovine meat and bone meal at the PID site and/or On-Farm Feed Mill listed above.

_____ _____

Name **Signature**

_____

Date

This requirement will be evaluated during your next CQA / Ractopamine-Free Pork Certification Program Validation.

Annex 15

Declaration by person in charge of the assembly yard on the use of bovine meat and bone meal

The person in charge of the assembly yard registered in the Canadian Ractopamine-Free Pork Certification Program must complete this declaration. If you answer “NO” to the statement of Section 1, you must complete Section 2.

SECTION 1. Free from bovine meat and bone meal

Statement	YES	NO
The assembly yard_____ (PID number) is able to demonstrate that pigs have not been fed with feed containing bovine meat and bone meal or fed with any protein supplement that may contain bovine meat and bone meal in the last 6 months.		

SECTION 2. Usage of bovine meat and bone meal

Statement	YES	NO
The assembly yard _____ (PID number) that was using bovine meat and bone meal or any protein supplements containing bovine meat and bone meal in the last 6 months has stopped using the products on _____ (date) and completed a clean-up in accordance with Annex 1 on _____ (date) and records supporting these conditions are available to auditors upon request.		

Advise your slaughter establishment if you have been using feed containing bovine meat and bone meal or any protein supplements containing bovine meat and bone meal in the last 6 months.

SECTION 3. Declaration by the person in charge of the assembly yard.

I _____ (Name of person in charge of assembly yard) attest that I am not feeding bovine meat and bone meal or any protein supplement containing bovine meat and bone meal at the assembly yard listed above.

_____ **Name**

_____ **Signature**

_____ **Date**

This requirement will be evaluated during your next Annual Assessment of the Ractopamine-Free Pork Certification Program.